

## HIAPER Cloud Radar (HCR) data, Version 3.2

### Changes from Version 3.1

Changes were made to the ECHO\_TYPE fields and the MELTING\_LAYER field. The ICING\_LEVEL field is no longer provided. See “Data processing and quality control” section below for details. The backlobe echo identification algorithm and the radial velocity bias correction method for zenith pointing operations have been further improved.

### Overview

This dataset contains HIAPER Cloud Radar (HCR) data collected aboard the NSF/NCAR GV HIAPER (Gulfstream-V High-performance Instrumented Airborne Platform for Environmental Research, HIAPER) (N677F) during OTREC (Organization of Tropical East Pacific Convection). The data were collected during 22 research flights which took place between August 7 and October 2, 2019, over the East Pacific and extreme SW Caribbean Ocean. For more information on OTREC, see [https://www.eol.ucar.edu/field\\_projects/otrec](https://www.eol.ucar.edu/field_projects/otrec).

Flight	Date	Start time UTC	End time UTC
RF01	2019 08 07	12:15	17:40
RF02	2019 08 11	12:15	18:15
RF03	2019 08 12	12:15	17:40
RF04	2019 08 16	12:15	18:40
RF05	2019 08 17	12:15	18:00
RF06	2019 08 18	13:15	18:45
RF07	2019 08 22	13:15	19:20
RF08	2019 08 23	12:15	17:35
RF09	2019 08 25	12:30	18:55
RF10	2019 09 03	12:10	18:20
RF11	2019 09 04	12:10	17:10
RF12	2019 09 09	14:00	20:25
RF13	2019 09 17	14:25	19:55
RF14	2019 09 21	12:05	18:10
RF15	2019 09 22	12:15	17:55
RF16	2019 09 24	12:05	17:30
RF17	2019 09 25	12:10	17:30
RF18	2019 09 27	12:10	17:40
RF19	2019 09 28	14:15	19:10

RF20	2019 09 30	12:05	17:25
RF21	2019 10 01	12:05	17:45
RF22	2019 10 02	12:05	16:25

## Instrument description

HCR (NCAR/EOL HCR Team, 2014) is an airborne, polarimetric, millimeter-wavelength (W-band) radar that serves the atmospheric science community by providing cloud remote sensing capabilities to the NSF/NCAR G-V (HIAPER) aircraft. HCR detects drizzle, and ice and liquid clouds, and collects Doppler radial velocity measurements, which at vertical incident include the vertical wind speed and particle fall speed.

In a pod-based design, a single lens antenna is used for both transmit and receive. The transceiver uses a two-stage up and down conversion superheterodyne design. The transmit waveform, from a waveform generator, passes through the two-stage up-conversion to the transmit frequency of 94.40 GHz. It is then amplified by an extended interaction klystron amplifier (EIKA) to 1.6 kW peak power. System performance on transmit and receive paths are closely monitored using a coupler and a noise source. Raw in-phase and quadrature information are archived in HCR. For more information, see Vivekanandan et al. (2015) and [www.eol.ucar.edu/instruments/hiaper-cloud-radar-hcr](http://www.eol.ucar.edu/instruments/hiaper-cloud-radar-hcr)

<b>HIAPER Cloud Radar Specifications</b>	
<b>Parameter</b>	<b>Specification</b>
Antenna	0.30 m, lens
Antenna gain	46.21 dB
Antenna 3 dB beam width	0.73°
Transmit Polarization	Linear (V)
Transmit frequency	94.40 GHz
Transmitter	Klystron
Peak transmit power	1.6 kW
Pulse width	0.2 – 1.0 $\mu$ s
PRF	up to 10 kHz
System noise power	-101 dBm
Receiver noise figure	8.9 dB
Receiver Bandwidth	20 MHz
Receiver Dynamic Range	76 dB
First IF	156.25 MHz

Second IF	1406.25 MHz
Range resolution	20 - 180 m
Unambiguous range	15 km
Typical reflectivity uncertainty	0.4 dB
Sensitivity	-35.0 dBZ at 1 km and 256 ns pulse
Unambiguous velocity	±7.75 m/s
Typical radial velocity uncertainty	0.2 m/s at W=2 m/s
Dwell time	100 ms

### Data description

The 10 Hz moments data described here are available at <http://data.eol.ucar.edu/dataset/590.009> in CfRadial format. For more information on CfRadial see [www.ral.ucar.edu/projects/titan/docs/radial\\_formats/CfRadialDoc.pdf](http://www.ral.ucar.edu/projects/titan/docs/radial_formats/CfRadialDoc.pdf).

The primary data products for scientific use are listed in the table below.

Variable	Dimensions	Unit	Long Name
time	time	seconds	Time in seconds since volume start
range	time	meters	Range from instrument to center of gate
latitude	time	deg	Latitude
longitude	time	deg	Longitude
altitude	time	meters	Altitude of radar
DBZ	time, range	dBZ	Reflectivity
DBZ_MASKED	time, range	dBZ	Reflectivity of cloud echo only (DBZ(FLAG>1)=NAN, see FLAG below)
VEL_MASKED	time, range	m/s	Motion and bias corrected and de-aliased Doppler velocity
WIDTH	time, range	m/s	Spectral width
SNR	time, range	dB	Signal to noise ratio
DBMVC	time, range	dBm	Log power co-polar v transmit, v receive
DBMHX	time, range	dBm	Log power cross-polar v transmit, h receive
NCP	time, range		Normalized coherent power
LDR	time, range	dB	Linear depolarization ratio (V/H)
PRESS	time, range	hPa	Air pressure from ERA5
TEMP	time, range	C	Air temperature from ERA5

RH	time, range	%	Relative humidity from ERA5
SST	time	C	Sea surface temperature from ERA5
U	time, range	m/s	U wind component from ERA5
V	time, range	m/s	V wind component from ERA5
TOPO	time	m	Terrain elevation above mean sea level from GTOPO30
FLAG	time, range		<p>See Romatschke et al. (2021)</p> <p>Flag field to classify reflectivity (to mask unwanted data):</p> <ul style="list-style-type: none"> <li>1 Cloud</li> <li>2 Speckle (contiguous 2D echo areas of &lt; 100 pixels)</li> <li>3 Extinct (signal completely attenuated)</li> <li>4 Backlobe echo (reflection from the land/sea surface when zenith pointing and flying low)</li> <li>5 Out of range (second trip echo from land/sea surface when flying too high)</li> <li>6 Transmitter pulse (echo from within the radar itself)</li> <li>7 Water surface echo</li> <li>8 Land surface echo</li> <li>9 Below the surface</li> <li>10 Noise source calibration</li> <li>11 Antenna in transition (e.g. from nadir to zenith or vice versa)</li> <li>12 Missing (not transmitting)</li> </ul>
ANTFLAG	time		<p>Flag field to indicate the status of the antenna:</p> <ul style="list-style-type: none"> <li>1 Down (nadir pointing)</li> <li>2 Up (zenith pointing)</li> <li>3 Pointing (pointing to an angle different from nadir or zenith)</li> <li>4 Scanning (e.g. sea surface calibration)</li> <li>5 Transition (e.g. from nadir to zenith)</li> <li>6 Failure</li> </ul>
MELTING_LAYER	time, range		<p>See Romatschke (2021) but note changes described in the next section</p> <ul style="list-style-type: none"> <li>9 warm</li> <li>11 melting warm</li> <li>19 melting cold</li> <li>21 cold</li> </ul>
ECHO_TYPE_2D	time, range		<p>See Romatschke and Dixon (2022)</p> <ul style="list-style-type: none"> <li>14 stratiform low</li> <li>16 stratiform mid</li> <li>18 stratiform high</li> <li>25 mixed</li> <li>30 convective</li> <li>32 convective elevated</li> <li>34 convective shallow</li> </ul>

			36 convective mid 38 convective deep
ECHO_TYPE_1D	time		As ECHO_TYPE_2D
PID	time, range		See Romatschke and Vivekanandan (2022) 1 rain 2 supercooled rain 3 drizzle 4 supercooled drizzle 5 cloud liquid 6 supercooled cloud liquid 7 melting 8 large frozen 9 small frozen 10 precipitation 11 cloud

## Data processing and quality control

A detailed description of the data processing and quality control procedures can be found in [Romatschke et al. \(2021\)](#). The basic principle of the melting layer detection algorithm is described in [Romatschke \(2021\)](#) but significant changes have been made since then (see below). The algorithm that separates radar echo into convective and stratiform types and calculates convectivity is described in [Romatschke and Dixon \(2022\)](#) and the particle identification algorithm is described in [Romatschke and Vivekanandan \(2022\)](#). Changes made since the publication of these papers are described in the following.

### *Radial velocity*

A velocity de-aliasing scheme has been developed and was applied in the VEL\_UNFOLDED field. Data from times when HCR was in ANTSTAT modes other than “zenith” or “nadir” were then masked out and the resulting field is available as VEL\_MASKED. We recommend using VEL\_MASKED as the standard radial velocity field for most purposes.

Based on a method developed by Litai Kang, Robert Wood, and Roger Marchand from the University of Washington, we developed a bias correction algorithm for radial velocity for times when HCR is operating in zenith pointing mode. Similar to the assumption that the land/ocean surface is stationary, which is used to correct radial velocity in nadir pointing operations (Ellis et al., 2019; Romatschke et al., 2021), we assume that cloud top velocities of zenith pointing times are similar to those of the surrounding nadir pointing times. First, cloud top velocities are calculated from the corrected nadir pointing data. These nadir cloud top velocities are then compared to those from the zenith pointing times and a difference between the nadir and zenith pointing cloud top velocities is used for bias correction of the zenith pointing velocity data.

## *ERA5 reanalysis*

The U and V ERA5 reanalysis wind components are now provided on the whole 2D time-range grid instead of just at the surface.

## *Melting layer detection*

A new melting layer detection algorithm has been developed which identifies the whole vertical extent of the melting layer based on a fuzzy logic methodology. The output has been simplified from what is described in Romatschke (2021). The MELTING\_LAYER field now has the following flag values: 9 - warm (below the melting layer), 11 - melting warm (in the melting layer but below the altitude of maximum melting), 19 - melting cold (in the melting layer but above the altitude of maximum melting), 21 - cold (above the melting layer). Values of MELTING\_LAYER are set to “missing” outside of regions with cloud echo (i.e., in regions where FLAG does not equal 1). The 1D ICING\_LEVEL field is no longer provided because it can easily be derived from the new MELTING\_LAYER field.

## *Stratiform/convective echo type*

In the advanced echo classification, where the troposphere is separated into the low, mid, and high region, we do no longer allow the separation boundary between the low and the mid region to fall below 2 km above the ground, and the separation boundary between the mid and the high region to fall below 4 km above ground. This way, we always retain all three regions and the associated cloud classifications, even when the melting layer intersects the ground. See [Romatschke \(2023\)](#) for details.

## *PID*

In the Particle IDentification (PID) field a temperature threshold of  $-40\text{ }^{\circ}\text{C}$  was set. Liquid particles are no longer allowed at temperatures below this threshold and were set to “precipitation” or “cloud”.

## **Known problems**

### *Radial velocity*

The surface based velocity correction worked well the majority of the time, however there are some regions in which problems were noted. These problems manifest themselves as columns of biased radial velocity at each range bin over several rays. We think these velocity pillars are caused by the filtering process over-smoothing surface velocity variations due to variable pointing error (Ellis et al. 2019). The zenith pointing correction is much less detailed than the nadir pointing correction and only corrects for major biases.

Another problem that cannot be corrected is, that the radar, while it rotates 360° around the along-plane axis, has only limited range of motion along the cross-plane axis. This means, that when the aircraft has significant pitch, e.g. during steep climbs, the tilt angle correction of the radar is not sufficient, reports erroneous angles, and the first step of the velocity correction fails. Times when this was the case are masked out in VEL\_MASKED and we therefore strongly recommend to only use the VEL\_MASKED velocity field.

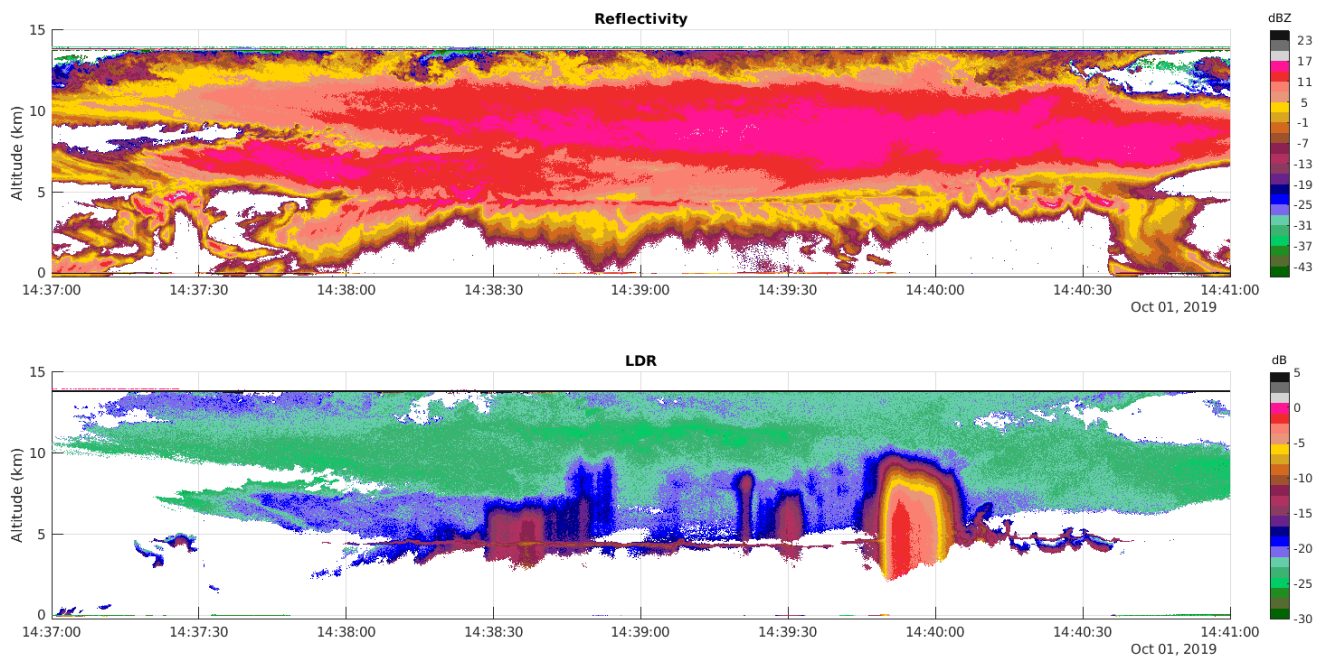
### *Backlobe echo in zenith pointing operations*

When the HCR is pointing at zenith and the GV is near the surface, there is often an echo that results from the backlobe of the radar reflecting off of the surface. This backlobe contamination is typically characterized by a band of low reflectivity, highly variable radial velocity, and high spectrum width. The backlobe appears in the zenith data at a range equal to the altitude of the radar. So as the GV ascends or descends the backlobe contamination will recede and approach in range, respectively. An attempt was made to identify the backlobe echo and flag it in the FLAG field but the identification process does not always completely remove all backlobe echo.

### *Periods during which the HCR transmitter was disabled*

In the HCR data, there are some short periods during which the transmitter was disabled for safety reasons. These show up as gaps in the power fields.

### *Multiple scattering*



In areas of heavy convection HCR data is affected by multiple scattering. Affected areas show increased LDR as seen in the example above. Reflectivity in these areas is likely not accurate

and should be used with caution. PID is likely also not accurate. We are currently investigating the multiple scattering problem and hope to have more information in the future.

### *Melting layer detection*

While overall, the new melting layer detection algorithm is more robust than the previous one, there are some cases of false identifications of the melting layer.

## **References**

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