1	NORTH PACIFIC RESEARCH BOARD PROJECT FINAL REPORT
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8	Correlative Biomass Dynamics Model
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14	NPRB Project B75 Final Report
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33	March 2014
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35 Abstract

36 Our first goal was to develop an age-aggregated multispecies model describing biomass dynamics of 37 some commercially and ecologically important groundfish species in the eastern Bering Sea. We 38 constructed multispecies models including walleye pollock, Pacific cod, arrowtooth flounder, and three 39 other flatfish species, including their trophic interactions. Two alternative model formulations were 40 developed: a multispecies biomass dynamics (MBD) model and a multispecies delay difference (MDD) 41 model. Both models were able to capture observed trends in survey biomass data over 1982-2009 and 42 both predicted the multi-species B_0 , MSY, and F_{MSY} to be lower than the sum of the estimates from single-43 species assessment models. Although the MDD model fit survey biomass estimates better than the MBD 44 model, the MDD model and its maximum likelihood parameters produced biologically unrealistic 45 biomass projections at F > 0.14. Using the MBD model, our second goal was to test a hypothesis that 46 reduction in the extent of the cold pool intensifies predation on juvenile pollock. We found that warmer 47 temperatures increase cannibalism and predation on age-1 pollock by adult pollock (age 3+) and 48 arrowtooth flounder, respectively, and decreases cannibalism on age-0 pollock by adult pollock. The 49 opposite effect of water temperature on age-0 and age-1 pollock likely reflects different distributions of 50 these pollock age classes in relation to the cold pool. Our multispecies models provide useful tools for 51 management strategy evaluations and they can be used to examine the effects of future climate change on 52 the groundfish community on the eastern Bering Sea continental shelf.

53 Key words:

Multispecies model, eastern Bering Sea, biomass dynamics model, delay-difference model, predator-prey
 interaction, cold pool

56 Citation

Uchiyama, T., G.H. Kruse, and F.J. Mueter. 2014. Correlative biomass dynamics model. North Pacific
Research Board Final Report B75, 79 p.

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78 Study Chronology

- 79 By design, this Bering Sea Integrated Ecosystem Research Program (BSIERP) project began in
- 80 September 2009 one year after the start of other BSIERP projects. The delayed start was necessary to
- 81 allow the modeling effort to be informed by preliminary results from project B68 Trophic Interactions -
- 82 *Retrospective Analysis.* In addition, delays were encountered while conducting this research owing the
- 83 following issues: (1) a short course on model development using AD model builder (ADMB) was not
- 84 available until April 2010, (2) parameter estimation was more difficult than anticipated owing to strong
- 85 correlations among number of parameters in the preliminary models, large uncertainty with parameter
- 86 estimates, and apparent overparameterization, (3) two alternative model forms (multispeices biomass
- 87 dynamics models and multispecies delay difference models) were developed, which took longer to
- 88 develop and test, (4) receipt of groundfish diet data from NMFS, used for parameter estimation, was
- 89 delayed, (5) models were modified to include more age classes (age 0, 1, 2) in juvenile pollock than
- 90 originally planned based on results of AFSC fish diet study, and (6) an additional hypothesis regarding a
- 91 climate effect on inter-specific interactions was addressed. Owing to these delays, we requested and
- 92 received a no-cost extension for this project September 30, 2012 to September 30, 2013.

93 Introduction

94 Fisheries harvests off Alaska accounted for 56% of the total U.S. commercial fishery harvest and 36% of

95 the U.S. exvessel value in 2011 (McDowell Group 2013). The Bering Sea and Aleutian Islands region

alone produced seafood valued at \$2.4 billion; fisheries for groundfish in this region accounted for 40%

97 of the entire U.S. commercial fishery harvest. Walleye pollock (*Gadus chalcogrammus*) is the most

98 abundant and commercially important groundfish species in the Bering Sea. Pollock represent 56% of

99 exploitable groundfish biomass in the Bering Sea and Aleutian Islands management area (NPFMC 2010),

100 and pollock catches averaged 76% of the total groundfish catch by weight from 1990 to 2009 (NPFMC

101 2009).

102 These valuable fisheries are managed under a federal Fishery Management Plan under the auspices of the 103 North Pacific Fishery Management Council. The Council's policy for groundfish fisheries includes the 104 adoption of ecosystem-based fishery management principles, including the incorporation of ecosystem 105 considerations into its management decisions (NPFMC 2010). In so doing, the Council recognizes that 106 "potential changes in productivity may be caused by fluctuations in natural oceanographic conditions, 107 fisheries, and other, non-fishing activities" and intends to "protect managed species from overfishing, and 108 where appropriate and practicable, increase habitat protection and bycatch constraints."

109 Central to ecosystem-based fishery management is the need to account for ecological interactions among

- 110 species. To understand variability of multiple species in the ocean, scientists often develop whole
- 111 ecosystem models that attempt to explain the flow of energy from phytoplankton throughout the marine

ecosystem. Such ecosystem models tend to be very complicated and require large quantities of data, many

113 assumptions, and large teams of modelers and other researchers. Instead, multispecies models, informed

114 by routinely collected assessment and ecological data, may provide a more practical tool to better

115 understand trends of the most commercially important fish species, based on their trophic interactions and

116 environmental relationships.

117 We explored two hypotheses:

118 H₀₁: Multispecies models, which incorporate species interactions, can provide good fits to historical

119 biomass estimates for key groundfish species on the eastern Bering Sea shelf.

120 H_{02} : The extent of the cold pool is related to the predation rate on juvenile pollock by their major

121 predators, including adult pollock.

In essence, the first hypothesis represented development of quantitative tools to evaluate the secondhypothesis about effects of temperature on groundfish predation rates.

124 Although multispecies models, such as ours, are unlikely to replace single-species models for annual 125 stock assessment and management over the near term, they can lead to improvements in single-species 126 models. For example, multispecies models typically demonstrate that the lack of separate accounting of 127 predation mortality in single-species models often results in natural mortality estimates that are biased 128 low. Such errors can lead to misspecification of harvest control rules used to manage the fishery. In 129 addition, multispecies models can be used to provide strategic management advice. For instance, 130 multispecies models can be used to evaluate the implications of single-species harvest strategies on 131 expected biomass of their predators and prey. Moreover, because they incorporate these trophic 132 interactions, multispecies models can be used to estimate the effects of environmental conditions (e.g.,

temperature) on predation rate, thus providing a tool to forecast responses of the groundfish community to

134 future climate changes.

135 **Overall Objectives**

136 The three objectives of our research were:

- 137 Objective 1: Develop multispecies biomass dynamics models [and multispecies delay difference
 138 models] for commercially or ecologically important groundfish in the eastern Bering Sea.
- 139 Objective 2: Examine inter-specific (predator-prey and competitive) interactions among a group of
 140 species that show clear evidence of covariation in productivity.
- 141 Objective 3: Examine shared climate effects on productivity and on the strength and magnitude of the
 142 inter-specific interactions among species or species groups.

143 For objective 1, we developed two alternative multispecies models. The first is based on the multispecies 144 biomass dynamics model of Collie & DeLong (1999) for the groundfish community on Georges Bank in 145 the northwest Atlantic Ocean. Biomass dynamics models aggregate the effects of recruitment, growth, 146 and mortality into a single production function (Haddon, 2000). As an alternative, we also modified 147 Deriso's (1980) single-species delay difference model and reformulated it for multiple species. Unlike the 148 biomass dynamics model, the delay difference model separates the effects of growth, mortality, and 149 recruitment. It describes biomass dynamics of an age-structured population by assuming a von 150 Bertalanffy growth function. In these regards, it is more realistic than the biomass dynamics model, yet 151 still requires the same undifferentiated biomass data as does the biomass dynamics model. Details are 152 provided in the Methods section of Chapter 1.

153 For objective 2 concerning interspecific interactions, we found that high Fs on all species depleted the 154 biomass of predators, which in turn reduced predation on juvenile pollock by Pacific cod and arrowtooth 155 flounder, resulted in a rapid increase in pollock biomass. However, strong positive correlations among 156 many of the predation parameters resulted in extremely large relative errors. This suggests that the diet 157 data do not contain enough information to reliably estimate these parameters and that the model is 158 overparameterized. Interspecific interactions are described in equations 5-7 and 9-27 in the Methods 159 section of Chapter 1. Predation parameters are defined in Table 1 of Chapter 1, and parameter estimates 160 (and relative errors) are shown in Figure 5 and 8 of Chapter 1. Correlations among selected interaction 161 (predation) parameters are shown in Figures 6 and 7 of Chapter 1. Parameter estimates and correlations 162 are explained in the Result and discussed in the Discussion of Chapter 1.

Objective 3 is the topic of Chapter 2. Our results suggest that variability in the extent of the cold pool on 163 164 the EBS shelf affects important predator-prey interactions. Our results imply that increasing abundances 165 of arrowtooth flounder (and to a lesser extent, adult pollock), in combination with anticipated decreases in 166 ice extent and duration, may increase predation on age-1 pollock. These results are consistent with the 167 hypothesis that an extensive cold pool provides a refuge for age-1 pollock from these predators (see 168 Figure 1b of Chapter 2). Decreases in ice extent and enhanced summer temperatures have previously been 169 shown to reduce the overwinter survival and subsequent recruitment of young-of-year pollock due to 170 reduced prey availability (Hunt et al. 2011, Heintz et al. 2013). Our study suggests that recruitment may 171 be further reduced in warm years because of increased predation mortality on age-1 pollock. Therefore, 172 anticipated declines in the future recruitment and abundance of walleye pollock in a warming climate may 173 be even more pronounced than predicted by Mueter et al. (2011). Our main findings on the effects of 174 temperature on predation appear in the Results, shown graphically in Figures 4 and 5, and are discussed in 175 the Discussion of Chapter 2.

177	Chapter 1: A Multi-species Biomass Dynamics Model for Investigating Predator-prey Interactions
178	in the Bering Sea Groundfish Community
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183 Abstract

184 Concerns over long-term cumulative impacts of fishing on marine ecosystems and the status of many 185 marine species have led to calls for ecosystem-based approaches to fishery management. Single species 186 population dynamics models traditionally used in fisheries stock assessment lack separate accounting of 187 predation mortality and often underestimate natural mortality. Age-structured models used for stock 188 assessment in the Alaska region are biologically realistic, but their applicability is limited to a relatively 189 small number of commercially exploited species because they require accurate survey and fishery age 190 composition data. The objective of this study was to develop a relatively simple age-aggregated 191 multispecies model that describes biomass dynamics of some commercially and ecologically important 192 groundfish species in the eastern Bering Sea by accounting for their trophic interactions. We developed 193 and contrasted two alternative model formulations: a multispecies biomass dynamics (MBD) model and a 194 multispecies delay difference (MDD) model. Both MBD and MDD models were able to capture observed 195 trends in survey biomass data over 1982-2009. Although the MDD model fit the survey biomass 196 estimates better than the MBD model, the MDD model and its maximum likelihood parameters produced 197 biologically unrealistic biomass projections at F > 0.14. Strong positive correlations among many of the 198 predation parameters resulted in highly uncertain parameter estimates. Consistent with previous studies, 199 both multispecies models predicted the multi-species B_0 , MSY, and F_{MSY} to be lower than the sum of the 200 estimates from single-species assessment models. Although the results of the current study should be 201 taken with caution because of high estimation uncertainty, our multispecies models provide useful tools 202 for exploring long-term effects of choosing a particular harvest strategy for one species on the stock 203 dynamics of other members of the groundfish community.

204 Keywords:

205 Multispecies model, Bering Sea, biomass dynamics model, delay-difference model, predator-prey206 interaction

207 **Prepared for submission to:**

208 Deep-Sea Research Part II: Topical Studies in Oceanography

209 Introduction

210 Increasing awareness of long-term cumulative impacts of fishing on marine ecosystems, including

211 overfishing, have prompted serious concerns over the status of many marine species (Myers and Worm,

212 2003; Pauly et al., 2002; Pikitch et al., 2004). Recognition of these broader impacts has led to calls for

213 more holistic approaches to fishery management, specifically ecosystem-based approaches. Central to

214 ecosystem-based fishery management is the need to account for ecological interactions among species.

215 Such interactions can be incorporated into fishery management using multispecies stock assessment

216 models. Traditionally, fisheries stock assessment has relied on single species population dynamics models

that treat natural mortality as time-invariant. This assumption, coupled to a lack of separate accounting of

218 predation mortality, very often results in underestimation of natural mortality (Tyrrell et al., 2011).

219 Fisheries harvests off Alaska accounted for 56% of the total U.S. commercial fishery harvest and 36% of 220 the U.S. exvessel value in 2011 (McDowell Group 2013). The Bering Sea and Aleutian Islands region 221 alone produced seafood valued at \$2.4 billion; fisheries for groundfish in this region accounted for 40% 222 of the entire U.S. commercial fishery harvest. These valuable fisheries are managed under a federal 223 Fishery Management Plan under the auspices of the North Pacific Fishery Management Council. The 224 Council's policy for groundfish fisheries includes the adoption of ecosystem-based fishery management 225 principles, including the incorporation of ecosystem considerations into its management decisions 226 (NPFMC 2010). In so doing, the Council recognizes that "potential changes in productivity may be 227 caused by fluctuations in natural oceanographic conditions, fisheries, and other, non-fishing activities" 228 and intends to "protect managed species from overfishing, and where appropriate and practicable,

229 increase habitat protection and bycatch constraints."

Although all stock assessment models in use for the Alaska region are single-species population models,

231 multispecies models are also used indirectly to incorporate trophic ecology into management decisions.

232 For instance, linked predator-prey population models have been used to identify temporal changes in

- 233 natural mortality and stock productivity (Hollowed et al., 2011). Models used for these types of analysis
- 234 include multispecies virtual population analysis (MSVPA; Livingston & Jurado-Molina 2000, Jurado-
- 235 Molina & Livingston 2002), multispecies statistical catch-at-age models (MSM; Jurado-Molina et al.
- 236 2005), and multispecies age-structured models (MSASA; Van Kirk et al., 2010). These are age-structured
- 237 population dynamics models similar to the single species models used for stock assessment, but they
- 238 include predator-prey linkages among two to five strongly interacting species.

239 Age-structured assessments subdivide the stock into age classes (cohorts) and model the dynamics of each 240 cohort separately, requiring accurate survey and fishery age composition data. A simpler alternative to an 241 age-structured model is the biomass dynamics model (also called surplus production model), which 242 describes the dynamics of a stock using total biomass without regard to age, thus not requiring age 243 composition data. Although the age-structured model is biologically more realistic, its applicability is 244 limited to a relatively small number of commercially exploited species because of demanding data 245 requirements. Also, simpler models can produce more precise biomass estimates than more realistic 246 models, if available data do not provide sufficient contrast in historical information about stock status 247 (Ludwig and Walters, 1985).

248 The goal of our study is to develop a relatively simple age-aggregated model that describes biomass 249 dynamics of some commercially and ecologically important groundfish species in the eastern Bering Sea 250 by accounting for their trophic interactions. We developed and contrasted two alternative model 251 formulations. The first is based on the multispecies biomass dynamics model developed by Collie & 252 DeLong (1999) for the groundfish community on Georges Bank in the northwest Atlantic Ocean. 253 Biomass dynamics models aggregate the effects of recruitment, growth, and mortality into a single 254 production function (Haddon, 2000). As an alternative, we also modified Deriso's (1980) single-species 255 delay difference model and reformulated it for multiple species. Unlike the biomass dynamics model, the 256 delay difference model separates the effects of growth, mortality, and recruitment. It describes biomass 257 dynamics of an age-structured population by assuming a von Bertalanffy growth function. In these 258 regards, it is more realistic than the biomass dynamics model, yet still requires the same undifferentiated

- biomass data as does the biomass dynamics model.
- 260 Both multispecies models were developed for seven groundfish species in the eastern Bering Sea: walleye
- 261 pollock (*Gadus chalcogrammus*) a species that supports the largest commercial fishery in the U.S.,
- arrowtooth flounder (Atheresthes stomias) a very abundant predatory flatfish species of low economic
- 263 value but high ecologically importance, Pacific cod (Gadus macrocephalus) a predatory fish that
- supports valuable fisheries, and four commercially important flatfish species yellowfin sole (*Limanda*

265 aspera), northern rock sole (Lepidopsetta polyxystra), flathead sole (Hippoglossoides elassodon), and 266 Alaska plaice (*Pleuronectes quadrituberculatus*). Yellowfin sole alone supports the largest flatfish fishery 267 in the U.S. For modeling purposes, we aggregated these last four flatfish species into a 'small-mouth 268 flatfish' group, owing to their similar decadal trends in stock biomass and the similarity of their 269 ecological relationships. Walleye pollock was divided into two age groups, juveniles (ages 0, 1, and 2) 270 and adults (age 3+), because juvenile pollock serve as important forage for many other predators in the 271 Bering Sea ecosystem. This separation also allowed us to explicitly model predation on juveniles, as well 272 as cannibalism of juveniles by adult pollock, which is important component of their population dynamics 273 (Wespestad et al., 2000). These seven species display tight trophic coupling owing to predator-prey 274 relationships inferred from stomach content analyses (Figure 1), which forms the basis for our

275 multispecies models.

276 Methods

277 <u>Data</u>

Biomass estimates from the summer bottom trawl survey (all species), echo-integrated trawl survey
(walleye pollock only), and annual commercial catch data for all species were obtained from the Stock
Assessment and Fishery Evaluation (SAFE) report for 2009 (NPFMC, 2009). The trawl survey estimates
and catch data were available from 1982 to 2009, while EIT survey estimates for walleye pollock were
only available in 1982, 1985, 1988, 1991, 1994, 1996, 1997, 1999, 2000, 2002, 2004, and 2006 – 2009.

283 Mean body weight for age-0 pollock was taken from Kooka (2012), and mean body weights for age-1, -2, 284 -3, and -3+ pollock were taken from Ianelli et al (2009). Estimates of biomass lost to predation for each 285 species for each predator were compiled from Alaska Fisheries Science Center's groundfish food habits 286 reports for 1984 – 2001 (Lang et al., 2005, 2003, 1991; Livingston and DeReynier, 1996; Livingston et 287 al., 1993). The biomass of a particular prey species lost to predation was estimated by multiplying 288 together the daily ration of the predator by the biomass estimate of the predator, proportion of the prey 289 species by weight in the predator's stomach, and the estimated number of feeding days by the predator. 290 Methodological details are provided in Lang et al. (1991).

291 Correction for age-specific survey selectivity

- 292 To obtain an estimate of total biomass, survey biomass estimates were corrected for age-specific
- selectivity and model-estimated age compositions reported in NPFMC (2009). Assuming that observed
- biomass in year y (B^{obs}_{y}) is composed of age classes $a = \{1, 2, 3, ..., A\}$ and that each age class has a

295 different degree of vulnerability to the survey gear (i.e., age-specific selectivity, S_a), then B_y^{obs} can be 206 estimated as:

297
$$B_{y}^{obs} = \sum_{a=1}^{A} B_{a,y}^{obs} = \sum_{a=1}^{A} B_{a,y} S_{a} = \sum_{a=1}^{A} N_{a,y} \overline{w}_{a} S_{a} = \sum_{a=1}^{A} N_{y} P_{a,y} \overline{w}_{a} S_{a} = N_{y} \sum_{a=1}^{A} P_{a,y} \overline{w}_{a} S_{a} , \quad [1]$$

where:

299

 $B_{a,y}^{obs}$ = observed biomass of age class *a* in year *y* S_a = selectivity of age class *a* $N_{a,y}$ = number of individuals in age class *a* in year *y* \overline{w}_a = average weight of an individual of age class *a* $P_{a,y}$ = proportion of population in age class *a* in year *y* N_y = total abundance in year *y*

300 From this,

301
$$N_y = B_y^{obs} / \sum_{a=1}^{A} P_{a,y} \overline{w}_a S_a .$$
[2]

302 A selectivity-corrected biomass estimate, \tilde{B}_{y}^{obs} , is then obtained by setting all selectivities S_{a} in equation 303 [1] equal to 1:

$$304 \qquad \widetilde{B}_{y}^{obs} = N_{y} \sum_{a=1}^{A} P_{a,y} \overline{w}_{a} = \frac{B_{y}^{obs}}{\sum_{a=1}^{A} P_{a,y} \overline{w}_{a} S_{a}} \sum_{a=1}^{A} P_{a,y} \overline{w}_{a} .$$
 [3]

If selectivity, age proportions, and/or average weight-at-age differ between sexes, then selectivity corrected estimates can be generalized to:

$$307 \qquad \widetilde{B}_{y}^{obs} = N_{y} \sum_{s=1}^{2} \sum_{a=1}^{A} P_{s,a,y} \overline{w}_{s,a} = \frac{B_{y}^{obs}}{\sum_{s=1}^{2} \sum_{a=1}^{A} P_{s,a,y} \overline{w}_{s,a} S_{s,a}} \sum_{s=1}^{2} \sum_{a=1}^{A} P_{s,a,y} \overline{w}_{s,a} , \quad [4]$$

308 where subscript *s* denotes sex.

309 Models

- 310 Two forms of age-aggregated biomass models were used to model biomass dynamics of four major
- 311 groundfish species/species groups in the eastern Bering Sea. The biomass dynamics equations of these
- 312 species groups are connected to each other through predation terms.

313 Multispecies Biomass Dynamics (MBD) model

Biomass dynamics equations for all species or species group have a common basic form as follows forspecies *x*:

316
$$\hat{B}_{x,y+1} = \hat{B}_{x,y} + r_x \hat{B}_{x,y} \left(1 - \frac{\hat{B}_{x,y}}{k_x} \right) - C_{x,y} - \hat{B}_{pred,x,y},$$
 [5]

317 where $\hat{B}_{x,y}$ = biomass estimate of species x in year y

318
$$C_{x,y}$$
 = commercial catch in year y

319
$$\hat{B}_{pred,x,y}$$
 = estimated predation on species x in year y, and

320 r_x, k_x = population growth and carrying capacity parameters, respectively, for species x.

In addition to the basic form above, the biomass dynamics equation for walleye pollock (subscript *p*) also includes a recruitment term $R_{p, y+1}$ that captures the part of the juvenile pollock biomass that recruits into adult biomass in year *y*+1:

324
$$\hat{B}_{p,y+1} = \hat{B}_{p,y} + r_p \hat{B}_{p,y} \left(1 - \frac{\hat{B}_{p,y}}{k_p} \right) - C_{p,y} - \hat{B}_{pred,p,y} + \hat{R}_{p,y+1},$$
 [6]

325 where $\hat{R}_{p,y+1}$ = predicted recruitment.

326 <u>Multispecies Delay Difference (MDD) model</u>

327 Biomasses of adult walleye pollock (age 3+), arrowtooth flounder (age 1+), Pacific cod (age 1+), and the

328 small-mouth flatfish group (age 1+) were modeled based on Deriso's delay difference model (Quinn &

329 Deriso 1999). In the models, the biomass of these species (or species group) were connected to each other

by predation terms. The form of the biomass equation common to these species and species group was

331 calculated following Quinn and Deriso (1999). For a given species x, predicted biomass in year y + 1 was

332 calculated as:

333
$$\hat{B}_{x,y+1} = (1 + \rho_x) s_{x,y} \hat{B}_{x,y} - \rho_x s_{x,y} s_{x,y-1} \hat{B}_{x,y-1} + \hat{R}_{x,y+1},$$
 [7]

334 where:

335 $\rho_x =$ Ford growth parameter for species x

336 $s_{x,y}$ = surviving fraction of $\hat{B}_{x,y}$ in the previous year y

337
$$= \frac{(\hat{B}_{x,y} - \hat{B}_{pred,x,y} - C_{x,y})e^{-m_{0,x}}}{\hat{B}_{x,y}}$$

338
$$m_{0,x}$$
 = residual mortality rate of species x in year y, and

339 $\hat{R}_{x,y+1}$ = predicted recruitment of species x in year y+1.

340 Recruitment for all species except pollock was modeled using a Ricker stock-recruit relationship.

341 Spawning biomass was defined as the fraction of the biomass that survived all predation and fishing:

342
$$\hat{R}_{x,y+1} = \alpha_x s_{x,y} \hat{B}_{x,y} \exp\left(-\beta_x s_{x,y} \hat{B}_{x,y}\right)$$
. [8]

343 Modeling of juvenile pollock biomass for both MBD and MDD models

Biomass of juvenile pollock (ages 0, 1, 2) was modeled with age structure to account for the effect of

345 predation on recruitment through multiple juvenile age classes, which have very different spatial

346 distributions. Juvenile pollock biomass was modeled identically for both the MBD and the MDD models.

347 Age-0 pollock biomass was modeled to be proportional to adult (age-3+) pollock biomass:

348
$$\hat{B}_{P0,y} = f\hat{B}_{P3+,y},$$
 [9]

349 where *f* is a parameter combining fecundity, growth, and larval mortality.

350 In the subsequent two years, the surviving portion of juvenile biomass was multiplied by $\frac{W_{a+1}}{W_a}$, the ratio

351 of the mean age-*a*+1 pollock body weight to the mean age-*a* pollock body weight to account for 352 individual growth:

353
$$\hat{B}_{P1,y+1} = (\hat{B}_{P0,y} - \hat{B}_{pred,P0,y}) \frac{w_{P1,y+1}}{w_{P0,y}}$$
, and [10]

354
$$\hat{B}_{P2,y+1} = \left(\hat{B}_{P1,y} - \hat{B}_{pred,P1,y} - C_{P1,y}\right) \exp\left(m_{0,P1}\right) \frac{W_{P2,y+1}}{W_{P1,y}}$$
. [11]

Finally, the surviving portion of age-2 pollock biomass that recruits into the adult pollock biomass the next year is given by:

357
$$\hat{R}_{P,y+1} = \left(\hat{B}_{P2,y} - \hat{B}_{pred,P2,y} - C_{P2,y}\right) \exp\left(m_{0,P2}\right) \frac{W_{P3,y+1}}{W_{P2,y}}$$
 [12]

358 In equations [9-12],

- 359 $\hat{B}_{P0,y}, \hat{B}_{P1,y}, \hat{B}_{P2,y}, \hat{B}_{P3+,y}$
- 360 =predicted biomass of pollock at age 0, 1, 2, and 3 + in year y, respectively.
- 361 $w_{P0,y}, w_{P1,y}, w_{P2,y}, w_{P3,y}$ = mean body weight at age 0, 1, 2, and 3 in year y, respectively
- 362 $\hat{B}_{pred,P0,y}, \hat{B}_{pred,P1,y}, \hat{B}_{pred,P2,y}$ = predicted biomass of pollock age 0, 1, 2 lost topredation in year y
- 363 $C_{P1,y}, C_{P2,y}$ = observed catch of pollock at age 1, and 2 in year y, and

 $m_{0,P1}, m_{0,P2}$ = residual mortality parameter for pollock age1, and 2, respectively. 364

365 Predation terms

366 Predator-prey relationships among study species were modeled based on known trophic interactions from

- 367 stomach analyses. The predation term for each prey species consisted of estimated biomass consumed by
- 368 each of its predators. A Holling Type III predator functional response was used to model predator-prey
- 369 relationships. In equations shown below, d_{xz} is a predation parameter for prey x and predator z, and a_{zx} is a
- 370 search-and-handling parameter for predator z feeding on prey x. Species notations are; P0 = age-0
- 371 pollock, P1 = age-1 pollock, P2 = age-2 pollock, P3 + = adult pollock, A = arrowtooth flounder, C =
- 372 Pacific cod, and F = small-mouth flatfish.
- 373 Predation by adult pollock on juvenile (ages 0-2) walleye pollock is estimated as:

374
$$\hat{B}_{dPP,y} = \frac{d_{PP}\hat{B}_{P3+,y}\hat{B}_{J,y}^2}{1 + a_{PP}\hat{B}_{J,y}^2 + a_{PA}\hat{B}_{A,y}^2 + a_{PC}\hat{B}_{C,y}^2 + a_{PF}\hat{B}_{F,y}^2}.$$
 [13]

375 Predation by arrowtooth flounder on juvenile and adult walleye pollock is estimated as:

376
$$\hat{B}_{dPA,y} = \frac{d_{PA}\hat{B}_{A,y}\hat{B}_{P,y}^2}{1 + a_{AP}\hat{B}_{P,y}^2 + a_{AF}\hat{B}_{F,y}^2}.$$
 [14]

377 Predation by Pacific cod on juvenile and adult walleye pollock is estimated as:

378
$$\hat{B}_{dPC,y} = \frac{d_{PC}\hat{B}_{C,y}\hat{B}_{P,y}^2}{1 + a_{CP}\hat{B}_{P,y}^2 + a_{CA}\hat{B}_{A,y}^2 + a_{CF}\hat{B}_{F,y}^2}$$
[15]

~

379 and predation by small-mouth flatfish on juvenile walleye pollock is estimated as:

380
$$\hat{B}_{dPF,y} = \frac{d_{PF}\hat{B}_{F,y}\hat{B}_{J,y}^2}{1 + a_{FJ}\hat{B}_{J,y}^2}.$$
 [16]

381 In equation [13 – 15]
$$\hat{B}_{J,y} = \hat{B}_{P0,y} + \hat{B}_{P1,y} + \hat{B}_{P2,y}$$
 and

382
$$\hat{B}_{P,y} = \hat{B}_{P0,y} + \hat{B}_{P1,y} + \hat{B}_{P2,y} + \hat{B}_{P3+,y}.$$

Pollock biomass lost to predation was then apportioned to each age class by multiplying it by the ratio of biomass in each age class to either the sum of juvenile pollock biomasses ($\hat{B}_{J,y}$) or the sum of the biomasses of all pollock age classes ($\hat{B}_{P,y}$). Pollock predation by adult pollock and by small-mouth flatfish were apportioned to juvenile pollock age classes only, while predation by arrowtooth flounder and by Pacific cod were apportioned to all age classes including adults (age 3+). Estimates of biomass lost to predation for juvenile and adult age classes were calculated as follows.

389 For juvenile age classes, $a = \{0, 1, 2\}$:

$$390 \qquad \hat{B}_{pred,Pa,y} = \frac{\hat{B}_{dPP,y}\hat{B}_{Pa,y}}{\hat{B}_{J,y}} + \frac{\hat{B}_{dPA,y}\hat{B}_{Pa,y}}{\hat{B}_{P,y}} + \frac{\hat{B}_{dPC,y}\hat{B}_{Pa,y}}{\hat{B}_{P,y}} + \frac{\hat{B}_{dPF,y}\hat{B}_{Pa,y}}{\hat{B}_{J,y}}.$$
 [17]

391 For adult pollock (age 3+):

392
$$\hat{B}_{pred,P3+,y} = \frac{\hat{B}_{dPA,y}\hat{B}_{P3+,y}}{\hat{B}_{P,y}} + \frac{\hat{B}_{dPC,y}\hat{B}_{P3+,y}}{\hat{B}_{P,y}}.$$
 [18]

An assumption implicit in equations [17 – 18] is that the rate of predation mortality caused by a particular
predator was constant across all age classes of walleye pollock in a given year. This assumption is clearly
not true, but was necessary to fit the models to age-aggregated estimates of biomass lost to predation.
Despite constant predation mortality rates across all age classes, each cohort experiences different
predation mortality through their juvenile life stage as predation mortality rates are time-variant.
Therefore, pollock age classes 0-2 were modeled separately to reflect different predation mortality among
cohorts from spawning to recruitment at age 3.

400 Predation on arrowtooth flounder by adult pollock and by Pacific cod, respectively, is modeled as401 follows:

402
$$\hat{B}_{dAP,y} = \frac{d_{AP}\hat{B}_{P3+,y}\hat{B}_{A,y}^2}{1 + a_{PP}\hat{B}_{J,y}^2 + a_{PA}\hat{B}_{A,y}^2 + a_{PC}\hat{B}_{C,y}^2 + a_{PF}\hat{B}_{F,y}^2} \quad \text{and [19]}$$

403
$$\hat{B}_{dAC,y} = \frac{d_{AC}\hat{B}_{C,y}\hat{B}_{A,y}^2}{1 + a_{CP}\hat{B}_{P,y}^2 + a_{CA}\hat{B}_{A,y}^2 + a_{CF}\hat{B}_{F,y}^2}.$$
 [20]

404 Therefore, total predation on arrowtooth flounder is:

405 $\hat{B}_{pred,A,y} = \hat{B}_{dAP,y} + \hat{B}_{dAC,y}.$ [21]

406 Predation on Pacific cod by adult pollock is: $\hat{B}_{dCP,y} = \frac{d_{CP}\hat{B}_{P3+,y}\hat{B}_{C,y}^2}{1 + a_{PP}\hat{B}_{J,y}^2 + a_{PA}\hat{B}_{A,y}^2 + a_{PC}\hat{B}_{C,y}^2 + a_{PF}\hat{B}_{F,y}^2}$ 407 [22]

408 where
$$\hat{B}_{pred,C,y} = \hat{B}_{dCP,y}$$
.

409 Predation on flatfish by adult pollock, by arrowtooth flounder, and by Pacific cod is estimated as:

410
$$\hat{B}_{dFP,y} = \frac{d_{FP}\hat{B}_{P3+,y}\hat{B}_{F,y}^2}{1 + a_{PP}\hat{B}_{J,y}^2 + a_{PA}\hat{B}_{A,y}^2 + a_{PC}\hat{B}_{C,y}^2 + a_{PF}\hat{B}_{F,y}^2}, \quad [24]$$

[23]

411
$$\hat{B}_{dFA,y} = \frac{d_{FA}\hat{B}_{A,y}\hat{B}_{F,y}^2}{1 + a_{AP}\hat{B}_{P,y}^2 + a_{AF}\hat{B}_{F,y}^2}$$
, and [25]

412
$$\hat{B}_{dFC,y} = \frac{d_{FC}\hat{B}_{C,y}\hat{B}_{F,y}^2}{1 + a_{CP}\hat{B}_{P,y}^2 + a_{CA}\hat{B}_{A,y}^2 + a_{CF}\hat{B}_{F,y}^2}.$$
 [26]

413 Total predation on flatfish is therefore given by:

414
$$\hat{B}_{pred,F,y} = \hat{B}_{dFP,y} + \hat{B}_{dFA,y} + \hat{B}_{dFC,y}.$$
 [27]

416 Likelihood function

417 For pollock of all age classes, total survey catchability was assumed to equal 1, which was divided

418 between two surveys, a bottom-trawl survey (BT) and an echo-integration trawl survey (EIT). Each

- 419 survey is assumed to sample a distinct portion of the stock with no overlap in catch (Ianelli et al., 2009).
- 420 Therefore, predicted biomass was related to selectivity-corrected survey biomass assuming a lognormal
- 421 error structure:

422
$$\widetilde{B}_{BT,p,y}^{obs} = q_{BT,p} \hat{B}_{p,y} e^{\varepsilon_{BT,p,y}}, \varepsilon_{BT,p,y} \sim N(0, \sigma_{BT,p}^2) \text{ and}$$
 [28]

423
$$\widetilde{B}_{EIT,p,y}^{obs} = q_{EIT,p} \hat{B}_{p,y} e^{\varepsilon_{EIT,p,y}}, \varepsilon_{EIT,p,y} \sim N(0, \sigma_{EIT,p}^2), \qquad [29]$$

424 where $q_{BT,p} + q_{EIT,p} = 1$.

425 All other species (*x*) are surveyed with bottom trawl gear only and survey catchability was assumed to be426 1:

427
$$\widetilde{B}_{BT,x,y}^{obs} = q_{BT,x} \hat{B}_{x,y} e^{\varepsilon_{BT,x,y}}, \varepsilon_{BT,x,y} \sim N(0,\sigma_{BT,x}^2), \qquad [30]$$

428 where $q_{BT,x} = 1$.

429 Lognormal errors were also assumed for the estimated biomass lost to predation:

430
$$B_{dxz,y} = \hat{B}_{dxz,y} e^{\varepsilon_{d,xz,y}}, \varepsilon_{d,xz,y} \sim N(0, \sigma_{d,xz}^2)$$
[32]

431 for prey species *x* and predator *z*.

432 First-year biomasses were estimated as free parameters. Based on the lognormal observation errors above,

433 parameters were estimated by minimizing the following negative log likelihood function:

$$434 \qquad -\ln L = \sum_{met \ x} \left\{ \sum_{y=1}^{n_{met,x}} \ln \widetilde{B}_{met,x,y}^{obs} + \frac{n_{met,x}}{2} \left[\ln \left(\frac{2\pi \sum_{y=1}^{n_{met,x}} \left(\ln \widetilde{B}_{met,x,y}^{obs} - \ln \widehat{B}_{met,x,y} \right)^2}{n_{met,x}} \right) + 1 \right] \right\}$$

435
$$+\sum_{x}\sum_{z}\left\{\sum_{y=1}^{n_{d,xz}}\ln B_{dxz,y} + \frac{n_{d,xz}}{2}\left[\ln\left(\frac{2\pi\sum_{y=1}^{n_{d,xz}}\left(\ln B_{dxz,y} - \ln \hat{B}_{dxz,y}\right)^{2}}{n_{d,xz}}\right) + 1\right]\right\}$$
[33]

436 where

437 $met = survey method, {BT, EIT}$ x = species z = predator of species xn = number of observations.

438 The list of estimated parameters is given in Table 1.

439 Biomass projection and calculation of biological reference points

440 Once parameters were estimated by fitting the models to data from 1982-2009, biomass of all species 441 were projected forward using the estimated parameters for 100 years beginning with the predicted 442 biomass for the last survey year (2009). Observed historical catches were subtracted from annual biomass 443 estimates from 1982 to 2009. In forward projections, instantaneous fishing mortality rate (F) for each 444 species was varied between 0 and 0.99 to find a combination of Fs that produces the maximum 445 sustainable yield (MSY) as the sum of the projected catches from all species at equilibrium. Catches were 446 calculated as:

447
$$C_{x,y} = (1 - \exp(-F_x))\hat{B}_{x,y}$$
 [34]

448 From biomass projections, five biological reference points (BRPs) of interest to fisheries management

449 were calculated. Unfished equilibrium biomass, B_0 , was obtained as the biomass in the last year of a

- 450 projection (equilibrium biomass) with no fishing (F = 0). Current depletion, B_{2009}/B_0 was predicted
- 451 biomass in 2009 divided by B_0 . MSY was defined as the highest total yield in the last year of the
- 452 projections (equilibrium yield) over all increments of *F* form 0 to 0.99 while maintaining biomasses of all
- 453 species above 20% of their respective B_0 . F_{MSY} and B_{MSY} corresponded to the F and equilibrium biomass at

454 which *MSY* was estimated to have occurred.

456 Monte Carlo simulations

457 Precision and bias in the estimates of the model parameters and the BRPs were evaluated for both types of 458 models using Monte Carlo (MC) simulations. The estimation models (MBD or MDD) and the maximum 459 likelihood parameters from the original model fits were used to simulate biomasses for all species for the 460 same number of years as in the original dataset (28 yr). From 1982 to 2009, annual catch rates in the 461 simulations were specified based on the observed catches and the biomass estimates from the original model fit as $f_{x,y}^{MC} = C_{x,y} / \hat{B}_{x,y}$ for species x in year y. Simulated catches were then calculated as 462 $C_{x,y}^{MC} = f_{x,y}^{MC} B_{x,y}^{MC}$, in which $B_{x,y}^{MC}$ is the simulated biomass of species x in year y. In years after 2009 in 463 464 the simulations, one hundred projections were made by varying F from zero to 0.99 in increments of 0.01. 465 In each iterative MC simulation, the same F was applied to all species to limit the number of projections. 466 In each year, the simulated catch and biomass consumed by predators (calculated from the simulated 467 biomass using equations [13-27]) were subtracted from the simulated total biomass, and the remaining 468 biomass was multiplied by a lognormal process error with a standard deviation equal to 10% of the root-469 mean-square error (RMSE) of the original model fit to the data. Process errors with a larger standard 470 deviation resulted in unstable biomass projections. The simulated "true" biomass was then projected 471 forward using either the MBD or the MDD models above and was multiplied by a lognormal observation 472 error with a standard deviation equal to 90% of RMSE of the original fit to produce a time series of simulated "observations" $B_{x,y}^{MC,obs}$. Model parameters for both the MBD and MDD models, as well as the 473 474 five BRPs were estimated for each of 3000 simulated data sets following the procedure described above. 475 An additional set of 3000 simulated time series were generated using the MDD model as the operating 476 model and the MBD model as the assessment model to estimate the reference points. Estimation biases 477 were computed as the difference between the true parameters used to simulate biomass time series and the 478 median values of parameter estimates from the three thousand MC simulation runs. To summarize variability in the parameter estimates we calculated the 2.5th and 97.5th percentiles of the estimates from 479 480 the MC simulations, respectively. These are referred to here as simulation intervals. Estimation biases are 481 reported as relative errors

482 $(=\frac{\text{Estimate} - \text{True parameter}}{\text{True parameter}} \times 100).$

483 **Results**

484 Both MBD and MDD models were able to capture the trends in the survey biomass data over 1982-2009 485 (Figure 2). Biomass estimates by the two types of models were almost identical for Pacific cod. For 486 small-mouth flatfish biomass, the MDD model seemed to be much more sensitive to fluctuations in 487 observed biomass compared to the MBD model. Overall, the MDD model fit the data only slightly better 488 than the MBD model based on the negative log likelihood (2445.11 and 2449.02 for the MDD and the 489 MBD models, respectively) at the expense of 11 additional parameters (42 and 53 parameters for the 490 MBD and the MDD models, respectively). Biomass projections by the MDD model exhibited limit cycles 491 in walleve pollock and small-mouth flatfish biomass trajectories at F = 0 (Figure 3). At higher Fs (F = 492 0.34 is shown in Figure 3), pollock biomass projections by the MDD model increased exponentially over 493 time. To correct this biologically unrealistic behavior, it was necessary to adjust some parameters in the 494 MDD model (predation term for pollock and stock-recruit and growth parameters for the flatfish) 495 manually. The projections were allowed to stabilize (Figure 4); however, the resulting parameters were 496 not maximum likelihood parameters (-lnL = 2485.84). Results presented below are based on models with 497 the adjusted parameter values.

498Predicted biomass during 2010-2109 differed between the two models and depending upon F (Figure 4).499In the absence of fishing, the equilibrium biomass (B_0) predicted by both the MBD and the MDD models500were all smaller than the assessment estimates except for arrowtooth flounder (Table 2). The sum of B_0 501estimates for all species from the assessment was about twice as large as those estimated by the MBD and502the MDD models. Current depletion (B_{2009}/B_0) estimated by the MBD and the MDD models were similar503to the one by the assessment model for Pacific cod, but much higher and close to 1 for walleye pollock504and flatfishes. The MDD model estimated B_0 for arrowtooth flounder to be about twice as large as

505 estimates from other models. When all seven species are combined, current depletion was estimated at

506 0.86 and 1.00 for the MBD and MDD models, respectively, compared to 0.40 for the 2009 stock

507 assessments. Estimates of MSY, F_{MSY} , and B_{MSY} for individual species varied considerably among models,

but all three models resulted in similar estimates of system-level B_{MSY} when summed across species (10 to

509 13 million metric tons). But, the both multispecies models predicted the eastern Bering Sea groundfish

510 stocks to be less productive than the assessment models, except for the flatfish group for which MSY was

- 511 estimated slightly higher by the MBD model (Table 2).
- 512 Model performance was assessed by examining relative errors in parameter estimates from the MC
- 513 simulations (Figures 5, 6, and 7). For the first year biomass estimates by species or group $(B_{P1,1982},$
- 514 $B_{P2,1982}, B_{P3,1982}, B_{P,1982}, B_{A,1982}, B_{C,1982}, B_{F,1982}$; Table 1) the median parameter values from the simulations

- 515 are very close to the true parameters for both the MBD and the MDD model (Figure 5). Estimation biases
- 516 ranged from -21% ($B_{P3,1982}$) to 0.02% ($B_{F,1982}$), and were of similar magnitude in the two models.
- 517 However, the MDD model produced larger relative errors for all of these parameters except for $B_{P3,1982}$.
- 518 Bottom trawl catchability for the three juvenile and adult age classes of pollock ($q_{BT, P1}, q_{BT, P2}, q_{BT, P3}, q_{BT}$
- 519 _P) were also estimated accurately on average by both models, with median estimation biases ranging from
- 520 -0.9% to 3.2%. For these parameters, the MDD estimates were much more variable than the MBD
- stimates (Figure 5). The median estimate of the fecundity parameter f was very close to the true
- 522 parameter for both the MBD and the MDD models, but relative error ranged from 1.5×10^{-3} (2.5th
- 523 percentile) to 6.5×10^{-2} (97.5th percentile) for the MDD model (Figure 5). In contrast, the MBD model
- 524 estimates had a much narrower distribution of *f*s.
- 525 The MBD model resulted in a much wider range of errors for many of the parameters related to predation
- 526 (d_{xz}, a_{zx}) and mortality $(m_{0,1}, m_{0,2})$ compared to the MDD model (Figure 5). While the MDD model
- 527 estimated $m_{0,1}$ and $m_{0,2}$ accurately, the estimates by the MBD model were strongly biased (3368% and
- 528 575% for $m_{0.1}$ and $m_{0.2}$, respectively). Error distributions were also wider for the MBD estimates for $m_{0.1}$
- 529 and $m_{0.2}$, whose 95% intervals both exceeded 100,000% of the true values, while the 95% interval of the
- 530 MDD estimates were about 200% of the true values for both.
- 531 Estimation biases for the 20 parameters in the predation equations of the MBD model were either small
- 532 (<10%; 2 parameters, d_{pf} and a_{fp}), moderate (>10%, <100%; 5 parameters, d_{ap} , d_{ac} , d_{fa} , d_{pa} , and a_{ap}), or
- 533 very large (>1000%; 13 parameters). Error distributions were narrow (2.5th and 97.5th percentiles were
- within 100% of the true parameter values) for two parameters with large negative biases (d_{ap} and d_{ac} , due
- 535 to very small median estimates), but very large for the rest of the 20 parameters. Despite the wide 95%
- 536 intervals, the true parameter values were smaller than the 2.5th percentile for two parameters (d_{pp} , a_{pp}) and
- 537 larger than the 97.5th percentile for another two (d_{ap} , and d_{ac}). Five of the 13 parameters with extremely
- 538 large biases $(d_{pp}, a_{pp}, d_{cp}, d_{fp}, a_{pf})$ were strongly positively correlated with each other (Figure 6). Another
- four $(d_{pc}, a_{cp}, d_{ac}, d_{fc})$ of the 13 parameters also showed strong positive correlations with each other. Three
- of the five parameters with moderate biases (d_{pa}, a_{ap}, d_{fa}) were also highly positively correlated with each
- 541 other.
- For the same 20 predation parameters, the MDD model estimated seven with small biases (<10%; d_{pa} , a_{ap} ,
- 543 $a_{pa}, d_{cp}, a_{pc}, a_{af}$, and a_{cf}), five with moderate biases (>10 % and <100%; d_{pf}, a_{fp}, d_{ap}, d_{ac}, and d_{fa}), eight with
- 544 very large biases (>100%; d_{pp} , d_{pc} , a_{pp} , a_{cp} a_{ca} , d_{fp} , d_{fc} and a_{pf}). Estimates were also highly variable for all
- 545 of the eight parameters with large estimation biases. For these eight parameters, and for four of the seven

- 546 parameters with small biases (a_{pa} , d_{cp} , a_{pc} , and a_{af}), the 97.5th percentile of relative errors were >1000% of
- 547 the true parameter value. Four of the eight parameters with large biases $(d_{pp}, a_{pp}, d_{fp}, \text{ and } a_{pf})$ had strong
- 548 positive correlations with each other and with one parameter with a small estimation bias (d_{cp}) . The other
- 549 three with large biases (d_{pc} , a_{cp} , and d_{fc}) also had strong positive correlation with each other (Figure 7).
- 550 Two pairs (d_{pf} and a_{fp} , d_{ap} and d_{ac}) of parameters out of the five with moderate biases were also positively
- 551 correlated with each other, while the last one of the five (d_{fa}) had strong positive correlation with two
- 552 parameters with small biases (d_{pa} and a_{ap}).
- 553 There are 8 and 19 other parameters unique to the MBD and MDD models, respectively. The MBD model 554 estimated these 8 parameters (r_p , k_p , r_a , k_a , r_c , k_c , r_f , k_f) fairly well (Figure 8). Estimated biases were all less 555 than 10%. The 95% intervals of relative errors for these parameters were fairly narrow except for the 556 carrying capacity parameter for small-mouth flatfish (k_f) Estimates of the 19 MDD parameters were less 557 accurate and precise (Figure 8). Out of the 19 parameters, six $(m_{0,P}, s_{P,1981}B_{P,1981}, \rho_C, \alpha_C, m_{0,F}, \text{ and } \alpha_F)$ had 558 biases larger than 10%. For 12 of the 19 parameters, the range of the 95% intervals ranged from 108% to 559 556% of the true parameters. The other seven parameters exhibited the 95% intervals over 1000% of the 560 true parameter values. There was no apparent correlation between the magnitude of the estimation bias 561 and precision. Only three pairs of parameters (ρ_p and $m_{0,p}$, $m_{0,a}$ and α_a , $m_{0,c}$ and β_c) showed strong 562 correlation to each other.
- The MDD model resulted in much larger biases in *MSY* and B_{MSY} (53% and 54%, respectively; Figure 9) than the MBD model (3% and 2%, respectively). When the MBD model was used to estimate the reference points from simulated biomasses generated by the MDD model, the biases were intermediate and negative (-27% and -4% for *MSY* and B_{MSY} , respectively). Using MBD as both the operating model and the assessment model produced the most precise estimates of *MSY* and B_{MSY} , while using the MDD model to estimate these reference points from MDD simulated biomasses were least precise.
- Estimation biases for B_0 were similarly small for all three simulation sets (4%, 2%, and 4% for the MBD, the MDD, and the MDD/MBD, respectively). However, relative errors for the MDD estimates were much larger compared to the other two (Figure 9). For B_{2009}/B_0 , estimation biases were similar among the three simulation sets and were moderately large around -20%. The MBD estimates for B_{2009}/B_0 was much more precise compared to the other two sets. Regardless of the model used to project biomass (whether the MBD model or the MDD model), the estimates produced by the MBD model were more precise than the estimates by the MDD model. The only exception was F_{MSY} , the MBD estimate of which was both less
- 576 accurate and precise.

577 Estimates of MSY, B_{MSY} , B_{θ} , and B_{2009}/B_{θ} by the MDD model were all strongly correlated (Figure 10). 578 These same estimates plus F_{MSY} from the MBD models also showed moderate correlations (Figure 11 & 579 12).

580 Discussion

581 Although the MDD model fit the survey biomass estimates of the eastern Bering Sea groundfish species 582 better than did the MBD model, the MDD model and its maximum likelihood parameters produced 583 biologically unrealistic biomass projections involving exponential increases of pollock biomass at F >584 0.14. High Fs on all species depleted the biomass of predators, which in turn reduced predation on 585 juvenile pollock by Pacific cod and arrowtooth flounder, resulting in a rapid increase in pollock biomass. 586 Biomass projections for pollock were stabilized by manually adjusting the model parameters to increase 587 the amount of cannibalism, which introduced a tighter density-dependent control on pollock biomass. 588 However, after this ad-hoc correction the negative log likelihood of the MDD model, given the survey 589 biomass estimates, became greater than that of the MBD model in spite of the 11 additional parameters 590

used in the MDD model.

591 Similar to the results from the multispecies biomass dynamics model of Collie and DeLong (1999), our 592 parameter estimates were highly uncertain. Strong positive correlations among many of the predation 593 parameters resulted in extremely large relative errors. This suggests that the diet data do not contain 594 enough information to reliably estimate these parameters and that the model is overparameterized. 595 Although many of the predation parameters estimated by the MDD model had narrower error ranges than 596 the MBD model estimates, these MDD estimates were associated with large variation in the sum-of-597 squared biomass residuals. This indicates that the smaller estimation error was achieved at the expense of 598 increased errors or bias in the survey biomass estimates. While neither model is clearly better in terms of 599 parameter estimation, unstable biomass projections is a major concern for the MDD model. Small 600 changes in parameter values quite often lead to unrealistic or chaotic behaviors of biomass trajectories for 601 the MDD model. Lack of self-regulation in the MDD-model biomass dynamics is particularly problematic 602 in estimating BRPs as this requires projected biomass to reach equilibrium.

603 The estimates of unfished biomass (B_0) from the multispecies models were lower than the estimates from

604 single-species assessment models because surplus production in the absence of fishing is consumed by

605 predators. This also means that, under various harvesting scenarios, the biomass of a given species can be

606 greater than its B_0 if predator biomass is reduced by fishing. For example, the equilibrium biomass of

607 walleye pollock was predicted to be above its B_0 when maximizing the total yield of the system (Table 2),

- 608 as a result of fishing down predators of walleye pollock to $B_{20\%}$, the lowest biomass level specified in the
- 609 chosen harvest strategy. In the MDD-model predictions, MSY for the system was obtained by harvesting
- 610 two predator species (Pacific cod and arrowtooth flounder) down to low biomass levels, thereby
- 611 increasing production of pollock and flatfish. In the MBD-model predictions, Pacific cod was similarly
- 612 depleted to a low biomass level to increase the production of pollock and flatfish, but arrowtooth flounder
- 613 were not harvested at all because reducing the availability of arrowtooth flounder as prey in the system
- 614 increases predation on juvenile pollock, especially by adult pollock (prey switching). In either case,
- 615 multispecies models predicted the system-wide *MSY* to be much lower than that estimated by the
- 616 assessment models. As a result, F_{MSY} for each species was also predicted to be lower than the estimates in
- 617 the stock assessments, except for some species in the flatfish group. These results are consistent with
- other studies that have shown that multi-species or system level MSY is generally lower than the sum of
- 619 single-species MSY (Walters et al. 2005, Mueter and Megrey 2006, Tyrrell et al. 2011).
- 620 Multispecies models are currently not used as primary assessment tools or to determine reference points.
- 621 The results of the current study need to be considered carefully because of the high estimation
- 622 uncertainty. However, the multispecies models developed in this study provide useful tools for exploring
- 623 long-term effects of choosing a particular harvest strategy for one species on the stock dynamics of other
- 624 species. In the current study, we explored the consequences of one particular harvesting strategy, in which
- 625 the total sustainable yield of the multi-species system was maximized. Simulations of other harvesting
- 626 strategies with different management objectives, such as maximizing economic values of catches or
- 627 rebuilding a depleted stock, are also possible and worth exploring. Another fruitful area of research would
- be to incorporate effects of climate change on trophic interactions and to study how it may affect
- 629 management decisions on these fishery resources.

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- 688 cannibalism, climate variability, physical transport, and recruitment success of Bering Sea walleye
- 689 pollock (*Theragra chalcogramma*). ICES Journal of Marine Science 57, 272–278.

- 692 Table 1. List of estimated parameters.

Paramete	rs common to both models
$B_{P1,1982}$	Biomass of age-1 pollock in 1982
$B_{P2,1982}$	Biomass of age-2 pollock in 1982
$B_{P3,1982}$	Biomass of age-3 pollock in 1982
$B_{P,1982}$	Biomass of age-3+ pollock in 1982
$B_{A,1982}$	Biomass of arrowtooth flounder in 1982
$B_{C,1982}$	Biomass of Pacific cod in 1982
$B_{F,1982}$	Biomass of small-mouth flatfish in 1982
$q_{BT, P1}$	Bottom trawl survey catchability for age-1 pollock
$q_{BT, P2}$	Bottom trawl survey catchability for age-2 pollock
$q_{BT, P3}$	Bottom trawl survey catchability for age-3 pollock
$q_{BT, P}$	Bottom trawl survey catchability for age-3+ pollock
f	Parameter combining fecundity, growth, and larval mortality of pollock
$m_{0,P1}$	Residual mortality of age-1 pollock
$m_{0 P2}$	Residual mortality of age-2 pollock

Parameters in predation equations				
d_{PP}	Predation rate parameter for pollock prey and pollock predator			
d_{PA}	Predation rate parameter for pollock prey and arrowtooth predator			
d_{PC}	Predation rate parameter for pollock prey and cod predator			
d_{PF}	Predation rate parameter for pollock prey and flatfish predator			
a_{PP}	Search-and-handling parameter for pollock prey and pollock predator			
a_{AP}	Search-and-handling parameter for pollock prey and arrowtooth predator			
a_{CP}	Search-and-handling parameter for pollock prey and cod predator			
a_{FP}	Search-and-handling parameter for pollock prey and flatfish predator			
d_{AP}	Predation rate parameter for arrowtooth prey and pollock predator			
d_{AC}	Predation rate parameter for arrowtooth prey and cod predator			
a_{PA}	Search-and-handling parameter for arrowtooth prey and pollock predator			
a_{CA}	Search-and-handling parameter for arrowtooth prey and cod predator			
d_{CP}	Predation rate parameter for cod prey and pollock predator			
a_{PC}	Search-and-handling parameter for cod prey and pollock predator			
d_{FP}	Predation rate parameter for flatfish prey and pollock predator			
d_{FA}	Predation rate parameter for flatfish prey and arrowtooth predator			
d_{FC}	Predation rate parameter for flatfish prey and cod predator			
a_{PF}	Search-and-handling parameter for flatfish prey and pollock predator			
a_{AF}	Search-and-handling parameter for flatfish prey and arrowtooth predator			
a_{CF}	Search-and-handling parameter for flatfish prey and cod predator			

695 696

698 Table 1. Continued.

	Parameters unique to MBD model						
	r_P Gr	Growth parameter for walleye pollock					
	k_P Ca	k_P Carrying capacity parameter for walleye pollock					
	r_A Gr	Growth parameter for arrowtooth flounder					
	k_A Ca	Carrying capacity parameter for arrowtooth flounder					
	r_C Gr	owth parameter for Pacific cod					
	k_C Ca	Carrying capacity parameter for Pacific cod					
	r_F Gr	owth parameter for small-mouth flatfish					
	k_F Ca	rrying capacity parameter for small-mouth flatfish					
699							
	Parameters unio	que to MDD model					
	$ ho_p$	Ford growth parameter for walleye pollock					
	$m_{0,p}$	Residual mortality rate for walleye pollock					
	$s_{P2,1981}B_{P2,1981}$	Surviving portion of age-2 pollock biomass in 1981					
	$s_{P,1981}B_{P,1981}$	Surviving portion of age-3+ pollock biomass in 1981					
	$ ho_A$	Ford growth parameter for arrowtooth flounder					
	$m_{0,A}$	Residual mortality rate for arrowtooth flounder					
	$lpha_A$	Ricker stock-recruit parameter for arrowtooth flounder					
	eta_A	Ricker stock-recruit parameter for arrowtooth flounder					
	$s_{A,1981}B_{A,1981}$	Surviving portion of arrowtooth biomass in 1981					
	$ ho_C$	Ford growth parameter for Pacific cod					
	$m_{0,C}$	Residual mortality rate for Pacific cod					
	α_C	Ricker stock-recruit parameter for Pacific cod					
	β_{C} _	Ricker stock-recruit parameter for Pacific cod					
	$s_{C,1981}B_{C,1981}$ Surviving portion of cod biomass in 1981						
	$ \rho_F $ Ford growth parameter for small-mouth flatfish						
	$m_{0,F}$ Residual mortality rate for small-mouth flatfish						
	α_F Ricker stock-recruit parameter for small-mouth flatfish						
	β_F	Ricker stock-recruit parameter for small-mouth flatfish					
700	$S_{F,1981}B_{F,1981}$	Surviving portion of small-mouth flatfish biomass in 1981					
/00							
701							
702							
703							
704							

- Table 2. Estimates of biological reference points for the MBD, MDD, and assessment models.
- Assessment model estimates were taken from NPFMC (2009). The unit for MSY, B_{MSY}, and B₀ are in
- 1,000 metric tons.

Species	Model	<u>F_{MSY}</u>	MSY	<u>B_{MSY}</u>	$\underline{\mathbf{B}}_{0}$	B_{2009}/B_0
Pollock	MBD	0.22	1,605	9,206	8,942	0.92
	MDD	0.17	946	7,085	5,213	1.34
	Assessment	0.47	3,022	8,102	21,457	0.29
Cod	MBD	0.24	90	417	2,086	0.31
	MDD	0.26	87	379	1,825	0.35
	Assessment	0.35	355	1,202	3,428	0.33
Arrowtooth	MBD	0.00	0	945	942	0.97
	MDD	0.24	64	296	1,357	0.72
	Assessment	0.30	94	368	1,051	1.08
Flatfish	MBD	0.45	904	2,473	5,857	0.95
	MDD	0.27	673	2,838	6,221	0.97
	Assessment	0.12 - 0.77	717	2,820	9,696	0.59
Total	MBD		2,599	13,041	17,826	0.86
	MDD		1,769	10,597	14,615	1.00
	Assessment		4,188	12,492	35,633	0.40
·	7 Issessment		.,100	1_,.>_	22,022	0110

* F_{MSY} estimates varied among the four species of flatfishes. 717





small-mouth flatfish for 1982-2009. Biomass was predicted from the multispecies biomass dynamics
(MBD, solid line) and the multispecies delay difference (MDD, dashed line) model. Open circles are
biomass estimates from the summer bottom trawl survey based on area-swept methods, and the dashed
lines are biomass estimates from the single-species stock assessment models (NPFMC, 2009).





Historical observed catches were applied over 1982-2009, then constant *F* was applied over 2010-2109.

858 Solid lines are projections with F = 0. Dashed lines show projections with F = 0.34.








Figure 5. Boxplots of parameter estimates from Monte Carlo simulations expressed as relative errors, i.e.,
true parameters are at 0 (dotted horizontal lines). For definitions of the parameters see Table 1. Lower
and upper bounds of the boxes indicate 25th and 75th percentiles, respectively. Thick horizontal lines
inside the boxes indicate median errors. Whiskers indicate 2.5th and 97.5th percentiles.



947 949





959 Figure 6. Scatterplots of parameter estimates by the MBD models for pairs of selected predation

- parameters that are strongly positively correlated. See Table 1 for parameter definitions. Both x and y
- axes are on natural log scale.



Figure 7. Scatter plots of parameter estimates by the MDD models for pairs of selected predation

- 967 x and y axes are on natural log scale.
- 968

⁹⁶⁶ parameters that show strong positive correlation. Definitions of the parameters are found in Table 1. Both



Figure 8. Boxplots of relative errors in parameter estimates from Monte Carlo simulations, i.e., true
parameters are at 0 (dotted horizontal lines). See Table 1 for parameter definitions. Lower and upper
bounds of the boxes indicate 25th and 75th percentiles, respectively. Thick horizontal lines inside the boxes
indicate median errors. Whiskers indicate 2.5th and 97.5th percentiles. These parameters are unique to
either the MBD or the MDD model.



1004 1005 Figure 9. Boxplots of relative errors for estimated biological reference points from Monte Carlo 1006 simulations, i.e., true parameters are at 0 (dotted horizontal lines). Lower and upper bounds of the boxes indicate 25th and 75th percentiles, respectively. Thick horizontal lines inside the boxes indicate median 1007 errors. Whiskers indicate 2.5th and 97.5th percentiles. Both data generation and parameter estimation were 1008 1009 done using the MBD or the MDD models, for the plots labeled "MBD" and "MDD", respectively. For the 1010 plots labeled "D & B", the MDD model was used to simulate data, then the MBD model was used to 1011 estimate management quantities. 1012



1013B2009/B01014Figure 10. Scatterplots of biological reference points estimated by the MDD models. Both x and y axes

1015 are on a natural log scale.



1016B2009/B01017Figure 11. Scatterplots of biological reference points estimated by the MBD models. Both x and y axes

- 1018 are on a natural log scale.
- 1019



1020
1021B2009/B0Figure 12. Scatterplots of biological reference points estimated by the MBD models based on biomass

- 1022 projections using the MDD models. Both x and y axes are on a natural log scale.
- 1023

1024	Chapter 2: Multispecies Biomass Dynamics Models Reveal Effects of Ocean Temperatures on
1025	Predation of Juvenile Pollock in the Eastern Bering Sea
1026	
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1032 Abstract

1033 Walleye pollock is the most abundant and commercially valuable groundfish species in the eastern Bering 1034 Sea (EBS). However, poor recruitment between 2001 and 2005, a period of warm ocean temperatures, led 1035 to a sharp decline in the EBS pollock stock from 2003 to 2009 and a ~40% reduction in catch relative to 1036 the previous decade. Juvenile pollock are important forage fish in the EBS ecosystem, often representing 1037 the largest fraction in the diets of major Bering Sea piscivores, including arrowtooth flounder, flathead 1038 sole, Pacific cod, and adult walleye pollock. Predation on juvenile pollock, including cannibalism by adult 1039 pollock, plays an important role in determining pollock recruitment strength. Some studies have 1040 suggested that a pool of cold water on the EBS shelf provides a thermal refuge for juvenile pollock from 1041 their predators, and that the recent warming trend may have caused an increase in predation on juvenile 1042 pollock. We constructed multispecies biomass dynamics models of walleye pollock, Pacific cod, 1043 arrowtooth flounder, and three other flatfish species, including their trophic interactions, to quantify the 1044 magnitude of predation. Using model selection criterion, we tested a hypothesis that reduction in the 1045 extent of the cold pool (indicated by an increase in annual mean bottom water temperature) intensifies 1046 predation on juvenile pollock. We found that warmer temperatures increase cannibalism and predation on 1047 age-1 pollock by adult pollock (age 3+) and arrowtooth flounder, respectively, and decreases cannibalism 1048 on age-0 pollock by adult pollock. The opposite effect of water temperature on age-0 and age-1 pollock 1049 likely reflects different distributions of these pollock age classes in relation to the cold pool. The model 1050 developed in this study can be used to examine effects of climate change on inter-specific interactions 1051 within the groundfish community and their implications on ecosystem structure and productivity.

1052 Keywords:

Bering Sea ecosystem, the cold pool, multispecies model, biomass dynamics model, predator-preyinteraction

1055 **Prepared for submission to:**

1056 Canadian Journal of Fisheries and Aquatic Sciences

1057 Introduction

1058 Walleye pollock (Gadus chalcogrammus) is the most abundant and commercially important groundfish 1059 species in the Bering Sea. Pollock represent 56% of exploitable groundfish biomass in the Bering Sea and 1060 Aleutian Islands management area (NPFMC 2010), and pollock catches averaged 76% of the total 1061 groundfish catch by weight from 1990 to 2009 (NPFMC 2009). Commercial fisheries for pollock 1062 represent over 40% of the global whitefish production. However, the eastern Bering Sea (EBS) pollock 1063 stock declined more than 60% from a high of 12.2 million t in 2003 (age 3+ biomass) to a low of 4.6 1064 million t in 2008, before increasing again in more recent years (Ianelli et al. 2013). This decline in 1065 biomass was also reflected in reduced harvests. In 2009 and 2010, annual EBS pollock catch dropped to 1066 0.81 million tons, roughly a 40% reduction from the average catch (1.28 million tons) over the previous 9 1067 years (Ianelli et al. 2010). Changes in stock biomass are primarily due to the effect of year class 1068 variability and the sharp decline since 2003 has been attributed to poor recruitment between 2001 and 1069 2005 (Ianelli et al. 2010, Coyle et al. 2011). The mechanisms controlling pollock recruitment are complex 1070 and poorly understood, but likely involve both physical and biological factors, as well as interactions 1071 among them (Jurado-Molina and Livingston 2002, Mueter et al. 2006).

1072 Pollock, especially juveniles, are important forage fish in the EBS ecosystem. Juvenile pollock often

1073 represent the largest fraction in the diets of major Bering Sea piscivores, including arrowtooth flounder

1074 (*Atheresthes stomias*), flathead sole (*Hippoglossoides elassodon*), and adult walleye pollock (Aydin et al.

1075 2007, Coyle et al. 2011). Cannibalism by adult pollock has been estimated to cause 40% of juvenile

1076 pollock mortality (Aydin et al. 2007) and plays an important role in determining the pollock recruitment

1077 strength (Wespestad and Quinn 1996). Arrowtooth flounder are a major predator of both juvenile and

adult pollock, raising serious concerns about the effects of an increasing arrowtooth flounder population

1079 in the Bering Sea on future pollock recruitment.

- 1080 Physical oceanographic conditions also affect pollock recruitment, either by affecting food production
- 1081 (bottom-up) or by affecting predation intensity (top-down). It was hypothesized that warm spring
- 1082 conditions and early sea ice retreat on the EBS shelf reduces the availability of large zooplankton prey for
- 1083 age-0 pollock, resulting in weak age-1 recruitment the following year (Hunt et al. 2011). Low abundance
- 1084 of large zooplankton in warm years may reduce pollock recruitment further as fish predators turn to age-0
- 1085 pollock as an alternative prey. In warm years, strong northward advection transports juvenile pollock
- 1086 inshore and away from adults. Spatial separation between juvenile and adults may in turn reduce
- 1087 cannibalism and enhance recruitment the following year (Wespestad et al. 2000, Mueter et al. 2006)
- 1088 The presence of the so-called "cold pool" is one of the unique features of the EBS shelf. The cold pool is 1089 a body of cold bottom water generally $< 2^{\circ}$ C, which persists on the middle shelf (50-100 m) throughout 1090 summer. The cold pool forms when sea ice freezes in the winter, and the size of the cold pool in summer 1091 depends on the extent of sea ice during the previous winter. Many subarctic fish species avoid the cold 1092 pool (Mueter and Litzow 2008) and are therefore excluded from much of the shelf when the cold pool is 1093 extensive, concentrating fish in the outer shelf and slope regions (Figure 1a, Spencer 2008). It has been 1094 hypothesized that an extensive cold pool increases encounter rates between prey and predators and results 1095 in higher predation rates on forage fishes (Wyllie-Echeverria and Ohtani 1999, Wespestad et al. 2000). 1096 On the other hand, there is some evidence that young pollock, in particular age-1 fish, can tolerate cold 1097 temperatures better than older fish and thus are able to seek refuge in the cold pool to avoid predation 1098 (Francis and Bailey 1983, Bailey 1989, Wyllie-Echeverria and Wooster 1998). If that were the case, then 1099 predation on juvenile pollock is expected to be lower in cold years with an extensive cold pool (Figure 1100 1b).
- 1101 In this study we use a multi-species modeling approach to examine the effects of variability in the cold 1102 pool on predation of juvenile pollock. Specifically, we test the hypothesis that the extent of the cold pool 1103 is related to the predation rate on juvenile pollock by their major predators, including adult pollock.

1104 Methods

1105 Overview

1106 We quantified predation on juvenile pollock by modeling the biomass dynamics of six EBS groundfish

- 1107 species walleye pollock, arrowtooth flounder, Pacific cod (*Gadus macrocephalus*), yellowfin sole
- 1108 (*Limanda aspera*), northern rock sole (*Lepidopsetta polyxystra*), and flathead sole and predatory
- 1109 interactions among them (Figure 2). We aggregated all flatfish species except arrowtooth flounder into a

1110 'small-mouth flatfish' group because of similar decadal trends in stock biomass and similar trophic and

ecological roles in the ecosystem. Walleye pollock was divided into two age groups, juveniles (age 0, 1,

and 2) and adults (age 3+) to explicitly model cannibalism of juveniles by adult pollock. Model details are

1113 provided in Appendix 1. The model provides a good fit to the data and captures the major biomass

1114 dynamics and apparent interactions among the species groups [Uchiyama et al., in prep].

1115 To test for the effects of variability in the cold pool on predation we included temperature-dependent

1116 predation rates in the model as described below. The model was fit to survey biomass data, catch data,

- 1117 and mean bottom water temperature as a proxy for the cold pool extent. Several alternative models that
- 1118 each included temperature-dependence for one selected predator-prey interaction were considered and a
- 1119 multi-model approach was used to quantify the evidence for different temperature-dependent predation
- 1120 terms.

1121 <u>Data</u>

1122 Survey biomass estimates of the study species, commercial catch statistics, and estimated biomass lost to

1123 predation used in this study are described in Appendix 1. In addition, estimates of summer bottom

1124 temperature (T_B) were obtained from the Alaska Fisheries Science Center

1125 (http://www.afsc.noaa.gov/RACE/groundfish/survey_data/ebswater.htm).

1126 Apportionment of pollock predation into age classes

1127 Estimated biomass lost to predation reported in the Alaska Fisheries Science Center's groundfish food 1128 habits reports represent aggregated biomass of all prey age classes. To fit model predicted predation on 1129 pollock by age class, reported predation estimates were assigned to pollock age classes 0 through 3+ 1130 using the methods described below. The length distribution of pollock prey consumed by their predators 1131 was obtained from the Alaska Fisheries Science Center (Kerim Aydin, NMFS, personal communication). 1132 These pollock prey length data were subdivided into four sampling quarters (January – March, April – 1133 June, July – September, October – December). Based on visual examination, we assumed that pollock 1134 prey in each quarter consisted of four distinct cohorts and that body length of individual prey in each 1135 cohort followed a normal distribution around a mean. The smallest cohort in the first sampling quarter 1136 was assumed to be age-1 because the mean body length of this cohort was as large as that of the second 1137 smallest cohort in the second quarter, and because pollock spawning peaks in early April in the EBS 1138 (Wespestad et al. 2000). For the second, third, and forth sampling quarters, the smallest cohort was 1139 assumed to be age-0. Parameters of cohort body length distributions (i.e., means and variances) were

- 1140 estimated using the R package 'mixtools' (Benaglia et al. 2009). Based on the quarter-specific cohort
- body length distributions, the probability that prey of a given size in a given quarter belongs to a
- 1142 particular cohort was calculated to assign individual pollock prey to one of four age classes (0, 1, 2, and
- 1143 3+). Body weights of individual prey were estimated from their body length and the length-weight
- 1144 relationship in Kooka (2012). Individual prey weights were summed by prey age class, predator, and
- 1145 sampling year. The proportion by weight of each prey age class was then calculated for each predator and
- 1146 year. The biomass of each pollock age class lost to predation was then calculated by multiplying total
- 1147 pollock biomass lost to predation by the age class proportions calculated above.
- 1148 Models
- 1149 Previously, we developed two alternative multispecies models for focal EBS groundfish species a
- biomass dynamics model and a delay difference model (Uchiyama et al., in prep.). Although each model
- 1151 has advantages and disadvantages, we selected the multispecies biomass dynamics model as the preferred
- 1152 model, largely because small changes in parameter values often led to unrealistic or chaotic behaviors of
- 1153 biomass trajectories for the multispecies delay difference model. Therefore, in this study, biomass
- 1154 dynamics of adult walleye pollock (age 3+), arrowtooth flounder (age 1+), Pacific cod (age 1+), and
- small-mouth flatfish group (age 1+) were modeled using the multispecies biomass dynamics model
- 1156 described in Uchiyama et al. (in prep), modified to include temperature-dependent predation rates.
- 1157 To examine the effects of bottom temperature on juvenile pollock predation we modeled predation rates 1158 (*d* in Appendix 1) in the biomass dynamics model as a linear function of summer bottom temperature 1159 anomalies (T_B):
- $d_{za} = \bar{d}_{za} + e_{za} T_B,$

where d_{za} is the predation rate for predator z and prey (juvenile age class) a, \bar{d}_{za} is the mean predation rate 1161 1162 at $T_B = 0$, and e_{za} is the slope parameter for the linear relationship between predation rate and temperature. 1163 Models were constructed to test for the effects of temperature on one predator-prey pair at a time by 1164 estimating the parameter e_{za} for the predator-prey combination of interest and fixing e_{za} at zero for all 1165 other predator-prey combinations. Thus, each model corresponds to the hypothesis that the per-capita 1166 predation rate of predator z on juvenile age class a increases ($e_{za} > 0$) or decreases ($e_{za} < 0$) with changes 1167 in bottom temperature. A total of thirteen models were tested, including all combinations of three pollock 1168 age classes and four predators, plus a model with no effect of bottom temperature. Model parameters were 1169 estimated using the maximum likelihood method. The likelihood function is described in Appendix 1.

1170 Model selection

- 1171 We quantified the evidence for different temperature-dependent predation rates using the Akaike
- 1172 Information Criterion and Akaike weights. For each of the 13 model configurations, the Akaike
- 1173 Information Criterion corrected for small sample size (AIC_c) was calculated following Burnham &
- 1174 Anderson (2002):

1175
$$AICc = -2\ln(L) + 2k + \frac{2k(k+1)}{n-k-1},$$

- 1176 where L = likelihood
- 1177 k = number of parameters.
- 1178 n = number of observations.
- 1179 Akaike weights (w_i) were calculated for each model by the following equation;

1180
$$w_i = \frac{\exp\left(-\frac{1}{2}\Delta_i\right)}{\sum_{i=1}^{R} \exp\left(-\frac{1}{2}\Delta_i\right)},$$

- 1181 where $\Delta_i = AIC_{C,i} AIC_{C,minimum}$
- 1182 R = number of models compared.

1183 Akaike weights, w_i , reflect the weight of evidence in favor of model *i* being the best model among the 1184 models considered. To reduce the bias related to model selection uncertainty, parameter estimates were 1185 averaged over the entire set of models following Burnham & Anderson (2002):

1186
$$\hat{\overline{\theta}}_j = \sum_{i=1}^R w_i \hat{\theta}_{j,i} ,$$

1187 where $\hat{\overline{\theta}}_{j}$ = model-averaged estimate of jth parameter.

1188
$$\hat{\theta}_{j,i}$$
 = estimator of the j^{th} parameter in model *i*.

1189 Model-averaged predictions for biomass and biomass lost to predation by year were computed similarly:

1190
$$\hat{\overline{B}}_{y} = \sum_{i=1}^{R} w_{i} \hat{B}_{y,i} ,$$

1191 where $\hat{B}_{y} =$ model-averaged prediction of biomass or biomass lost to predation in year y.

1192 $\hat{B}_{y,i}$ = the model-averaged estimated biomass or biomass lost to predation in year y

1193 for model *i*.

1194 **Results**

1195 The model that included an effect of bottom temperature on predation of age-1 pollock by arrowtooth 1196 flounder was most strongly supported by the data (Table 1). Three other models (bottom temperature 1197 effect on cannibalism of age-0 and age-1 pollock by adult pollock, and predation of age-0 pollock by 1198 Pacific cod) had better support than the null model (i.e., no bottom temperature effect) although the 1199 difference in AIC_c was small. Bottom temperature had a positive effect (i.e., predation increased with 1200 increasing temperature) in seven of the 12 models, including pollock predation on age-1 pollock, 1201 arrowtooth predation on juvenile pollock of all ages, cod predation on age-0 pollock, and flatfish 1202 predation on age-0 and age-1 pollock. In contrast, warmer bottom temperature was estimated to cause a 1203 decrease in cannibalism of age-0 and age-2 pollock by adult pollock, predation of age-1 and age-2 pollock 1204 by cod, and predation of age-2 pollock by flatfish.

Model-averaged predictions suggest that a large portion of the biomass of age-0 and age-1 pollock was lost to predation, but predation was relatively small for age-2 pollock, adult pollock (age 3+), arrowtooth flounder, Pacific cod, and small-mouth flatfish (Table 2, Figure 3).

1208 Temperature had the largest relative effect on age-1 predation by arrowtooth flounder, with predation

1209 rates varying $\pm 25\%$ relative to the estimated predation rate at the mean temperature of 2.25 °C (Figure 4).

1210 Temperature effects on predation of age-0 and age-2 pollock were largest for Pacific cod (±10%, Figure

1211 4), although the absolute predation rates were small (Figure 3). For age-0 and age-2 pollock, predation by

1212 adult pollock, arrowtooth flounder, and small-mouth flatfish changed by less than $\pm 3\%$ relative to

- 1213 predation at the mean temperature. Predation on age-1 pollock by adult pollock increased with
- temperature by 8%, while predation by cod decreased and predation by flatfish increased with
- 1215 temperature by less than 1%. Predation on age-2 pollock decreased with increasing temperature for all
- 1216 predators except arrowtooth flounder. However, the change in arrowtooth predation was small (<1%), as
- 1217 was the change in flatfish predation.

1218 Compared to the effect of changes in predator biomass on predation, the effects of changing bottom

- 1219 temperature on predation rates are negligible, except in three cases (cod predation on age-0 and age-2,
- 1220 arrowtooth predation on age-1).

1221 Discussion

Our results suggest that variability in the extent of the cold pool on the EBS shelf affects important predator-prey interactions. In particular, warmer temperatures are associated with enhanced predation on juvenile pollock (age-1) by arrowtooth flounder and, to a lesser extent, by adult pollock. These results are consistent with the hypothesis that an extensive cold pool provides a refuge for age-1 pollock from these predators (Figure 1b).

Other lines of evidence also suggest that changes in water temperature affect predation on juvenile pollock. Walleye pollock migrate from their spawning ground to the feeding ground on the shelf in spring. Pollock migrate further inshore when the bottom temperature is high (Kotwicki et al. 2005); increased cannibalism of age-1 pollock is associated with spatial overlap between age-1 and adult pollock. Arrowtooth flounder are more widely distributed on the shelf when the cold pool is smaller (Spencer 2008, Ciannelli et al. 2012). The overlap between distribution of juvenile pollock and that of arrowtooth flounder on the shelf increases in warm years, and pollock recruitment is negatively correlated

1234 with the magnitude of the overlap (Hunsicker et al. 2013).

Our results imply that increasing abundances of arrowtooth flounder, in combination with anticipated decreases in ice extent and duration, may increase predation on age-1 pollock. Decreases in ice extent and enhanced summer temperatures have previously been shown to reduce the overwinter survival and subsequent recruitment of young-of-year pollock due to reduced prey availability (Hunt et al 2011, Heintz et al 2013). This study suggests that recruitment may be further reduced in warm years because of increased predation mortality on age-1 pollock. Therefore, anticipated declines in the future recruitment and abundance of walleye pollock in a warming climate may be even more pronounced than predicted

(Mueter et al 2011). While Mueter et al. (2011) included scenarios with increasing arrowtooth flounderabundances in projections, the effect of increasing temperatures on predation was not considered.

1244 Our results suggest that bottom temperature affects predator-prey interactions differently among different 1245 age classes of pollock prey. While predations on age-1 pollock were predicted to increase with increasing 1246 bottom temperature, predation on age-0 pollock by adult pollock was predicted to decrease with 1247 increasing temperature. The difference in predicted temperature effect may reflect different distributions 1248 of juvenile age classes in relation to the cold pool. Age-1 pollock can tolerate wider range of water 1249 temperature than age-0 pollock and are found more often near the bottom (Bailey 1989, Duffy-Anderson 1250 et al. 2003, Hollowed et al. 2012), while age-0 pollock are typically distributed above the thermocline 1251 during summer (Olla et al. 1996). If age-0 pollock are excluded from the cold pool as are many of their 1252 predators, but age-1 pollock are not, reduction of the size of the cold pool may have opposite effects on

1253 predation of age-0 and age-1 pollock.

1254 We identify some caveats in our approach. Although our results suggest that bottom temperature affects 1255 predator-prey interactions among the EBS groundfish species, estimated effects were highly uncertain and 1256 mostly weak (except ATF on age-1), hence these may not be ecologically important relationships. In the 1257 current study, estimated temperature effects on predation rates were assumed to be linear for simplicity. 1258 Results may change if fish respond to change in temperature in a non-linear fashion. For example, 1259 predation rates might increase up to some optimum temperature and decrease thereafter. Effect of bottom 1260 temperature on each of the predator-prey interaction was assumed independent of one another. However, 1261 change in one interaction may affect others, as it changes proportions of available prey to predators.

In the current study, we examine effects of bottom temperature on predator-prey interactions in the EBS groundfish community using multispecies biomass dynamics model. The model developed in this study could be used to examine effects of other covariates on inter-specific interactions, to help explain observed changes in the fish community, and to understand implications of climate change on an ecosystem and its productivity.

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1363	consequences for fish distributions. Fisheries Oceanography 7: 159–170.

	Prey age	Parameter estimate		
Predator	class	(model-averaged)	$\Box AIC_{C}$	Wi
Arrowtooth	1	60.424	0	0.798
Pollock	1	0.049	4.96	0.067
Pollock	0	-0.051	6.98	0.024
Cod	1	-0.255	7.08	0.023
NA	NA	NA	7.55	0.018
Arrowtooth	0	0.058	7.76	0.016
Cod	2	-0.577	8.34	0.012
Flatfish	1	1.116	8.54	0.011
Cod	0	0.474	9.16	0.008
Arrowtooth	2	0.014	9.22	0.008
Pollock	2	-8.7E-05	9.38	0.007
Flatfish	0	0.519	9.98	0.005
Flatfish	2	-4.8E-12	22.18	0.000

Table 1. Comparison of estimated model parameters: AIC_C , $\Box AIC_C$, w_i .

Table 2. Percentage of prey biomass consumed by each predator, averaged over 1982 – 2009.

Predator	pollock	cod	ATF	flatfish	Total
Prey	-				
age-0 pollock	42.96%	0.01%	0.07%	0.25%	43.29%
age-1 pollock	63.61%	0.92%	9.44%	3.30%	77.27%
age-2 pollock	0.78%	0.35%	1.80%	0.00%	2.93%
adult pollock		1.23%	0.15%		1.38%
cod	0.02%				0.02%
ATF	0.00%	0.09%			0.09%
flatfish	0.01%	0.36%	0.19%		0.56%

1369







Figure 3. Model-averaged predicted biomass and biomass lost to predation by predator for (a) age-0
pollock, (b) age-1 pollock, (c) age-2 pollock, (d) adult pollock, (e) Pacific cod, (f) arrowtooth flounder,

1416 and (g) small-mouth flatfish.



 $\begin{array}{c} 1417\\ 1418 \end{array}$

1419 Figure 4. Relative effects of bottom temperature on predation on juvenile pollock by their predators. The

1420 y axes show predicted biomass of juvenile pollock lost to predation for the range of observed temperature,

1421 expressed in proportion to the biomass lost to predation at the mean bottom temperature (2.25°C).



1423 1424

Figure 5. Predicted changes in predation on juvenile pollock age classes by their predators over a range of predator biomasses at three different bottom temperatures. The y-axes show changes in juvenile pollock biomass lost to predation in proportion to the amount at the mean predator biomass. Prey biomasses were fixed at their respective mean values. Predator biomasses were varied from mean biomass - 2SD to mean biomass + 2SD. Each line represents predation amount at 0.004, 2.245, and 4.490°C.

1431 Conclusions

1432 We successfully developed multispecies simulation models of pollock, cod, and flatfish in the eastern 1433 Bering Sea. Not only did these models allow us to reproduce observed changes in populations of pollock, 1434 cod, and flatfish in the eastern Bering Sea since the 1980s, but the models captured known predator-prey 1435 relationships as revealed by groundfish diet data. Both model formulations, the multispecies biomass 1436 dynamics (MBD) model and a multispecies delay difference (MDD) model, yielded good fits to the data. 1437 Also, both models predicted the multispecies B_0 , MSY, and F_{MSY} to be lower than the sum of the estimates 1438 from single-species assessment models, consistent with multispecies dynamics models developed for 1439 other ecosystems. Although the MDD model fit survey biomass estimates better than the MBD model, the 1440 MDD model and its maximum likelihood parameters produced biologically unrealistic biomass 1441 projections at values of F > 0.14. More realistically, under the MBD model, when high values of F was 1442 exerted on all species, the biomass of Pacific cod and arrowtooth flounder was depleted, which in turn 1443 reduced predation on juvenile pollock, resulting in a rapid increase in pollock biomass. Thus, we feel that 1444 we have satisfactorily achieved objectives 1 and 2. Nevertheless, results must be interpreted with caution, 1445 given strong positive correlations among many of the predation parameters that resulted in large relative 1446 errors.

1447 Using the MBD model, we tested a hypothesis that reduction in the extent of the cold pool intensifies 1448 predation on juvenile pollock. We found that warmer temperatures increase cannibalism and predation on 1449 age-1 pollock by adult pollock (age 3+) and arrowtooth flounder, respectively, and decreases cannibalism 1450 on age-0 pollock by adult pollock. The opposite effect of water temperature on age-0 and age-1 pollock 1451 likely reflects different distributions of these pollock age classes in relation to the cold pool. These results 1452 are consistent with the hypothesis that an extensive cold pool provides a refuge for age-1 pollock from 1453 these predators. Decreases in ice extent and enhanced summer temperatures have previously been shown 1454 to reduce the overwinter survival and subsequent recruitment of young-of-year pollock due to reduced 1455 prey availability (Hunt et al. 2011, Heintz et al. 2013). Our study suggests that recruitment may be further 1456 reduced in warm years because of increased predation mortality on age-1 pollock. Therefore, anticipated 1457 declines in the future recruitment and abundance of walleye pollock in a warming climate may be even 1458 more pronounced than predicted by Mueter et al. (2011). This enhanced understanding of the effects of 1459 temperature on pollock through predation achieves objective 3 of our study. Future studies should 1460 continue to explore ways that environmental conditions alter these relationships, and to evaluate their 1461 implications on fishery management and expected future fishery yields.

1462 Management or Policy Implications

1463 The groundfish species that we studied support valuable fisheries that are managed under a federal 1464 Fishery Management Plan under the auspices of the North Pacific Fishery Management Council. The 1465 Council's policy for groundfish fisheries includes the adoption of ecosystem-based fishery management 1466 principles, including the incorporation of ecosystem considerations into its management decisions 1467 (NPFMC 2010). Thus, the Council recognizes that "potential changes in productivity may be caused by 1468 fluctuations in natural oceanographic conditions, fisheries, and other, non-fishing activities" and intends 1469 to "protect managed species from overfishing, and where appropriate and practicable, increase habitat 1470 protection and bycatch constraints." Central to ecosystem-based fishery management is the need to 1471 account for ecological interactions among species. Multispecies models, informed by routinely collected 1472 assessment and ecological data, may provide a practical tool to bring multispecies considerations into the 1473 annual fishery management process.

1474 Although multispecies models, such as ours, are unlikely to replace single-species models for annual 1475 stock assessment and management over the near term, they can lead to improvements in single-species 1476 models. As one specific example, multispecies models typically demonstrate that the lack of separate 1477 accounting of predation mortality in single-species models often results in natural mortality estimates that 1478 are biased low. Such errors can lead to misspecification of harvest control rules used to manage the 1479 fishery. Thus, estimates of natural mortality, and hence harvest control rules, in single species models can 1480 be informed by improved mortality estimates estimated by multispecies models.

In addition, multispecies models can be used to provide tactical management advice. For instance,
multispecies models can be used to evaluate the implications of single-species harvest strategies on

1483 expected biomass of their predators and prey so that these ecosystem considerations can be taken into

1484 account during the annual harvest specification process. We explored the consequences of one particular

- 1485 harvest strategy, in which total sustainable yield of the multi-species system was maximized. However,
- simulations of other harvest strategies with different management objectives, such as maximizing
- 1487 economic values of catches or rebuilding depleted stocks, are also possible and worth exploring.

1488 Finally, because they incorporate these trophic interactions, multispecies models can be used to estimate

1489 the effects of environmental conditions (e.g., temperature) on predation rate, thus providing a tool to

1490 forecast responses of the groundfish community to future climate changes. Thus, another fruitful applied

1491 area of research would be to incorporate effects of climate change on trophic interactions and to study

1492 how these effects may affect management decisions on these important fishery resources.

Publications

1494	Uchiyama, T., G.H. Kruse, and F.J. Mueter. 2014. Correlative biomass dynamics model. North Pacific
1495	Research Board Final Report B75, 79 p.
1496	Uchiyama, T., G.H. Kruse, and F.J. Mueter. In prep. A multi-species biomass dynamics model for
1497	investigating predator-prey interactions in the Bering Sea groundfish community. Prepared for
1498	submission to Deep Sea Research Part II: Topics Studies in Oceanography.
1499	Uchiyama, T., F.J. Mueter, and G.H. Kruse. In prep. Multispecies biomass dynamics models reveal
1500	effects of ocean temperatures on predation of juvenile pollock in the eastern Bering Sea. Prepared
1501	for the Canadian Journal of Fisheries and Aquatic Sciences.
1502	Outreach
1503	Exhibits/Displays/Demonstrations Developed
1504	None.
1505	Community Meetings
1506	• August 12/13, 2008: Franz Mueter provided testimony on the impacts of climate change on fish and
1507	fisheries to a panel convened by the Aspen Institute in Fairbanks. A copy of the presentation was
1508	provided to Nora Deans, NPRB.
1509	• In August 2011, Gordon Kruse testified in Dutch Harbor at a hearing on the Arctic by the Alaska
1510	State Legislature's Joint Alaska Northern Waters Task Force. He summarized fisheries research being
1511	conducted by UAF in the Bering, Chukchi and Beaufort Seas. The presentation included an overview
1512	of the BSIERP program in general, with more detail on those BSIERP projects involving UAF
1513	scientists, including the current project.
1514	• Gordon Kruse participated in the Alaska Young Fishermen's Summit, sponsored by the University of
1515	Alaska Marine Advisory Program, and held in Juneau, Alaska on February 13, 2012. Gordon spoke to
1516	51 young Alaskan fishers about the role of science in state and federal fisheries management of
1517	groundfish and other species groups.
1518	• On May 29, 2012, Mueter presented a public outreach seminar to the community of Nome as part of
1519	the "Strait Science Series" on "Limits to the northward movement of fishes in the eastern Bering
1520	Sea", Nome, AK.

- 1521 Presentations at Festivals/Events
- 1522 None.
- 1523 Workshop Presentations
- August 2008: Several earlier workshops sponsored by PICES and NPRB resulted in PICES Scientific
 Report #34 "Forecasting Climate Impacts on Future Production of Commercially Exploited Fish and
 Shellfish". Kruse prepared a section for the report on status of knowledge and proposed mechanisms
 linking climate change to the production of red king crab, Tanner crab and snow crab.
- September 13-16, 2008: Mueter participated in the ESSAS (Ecosystem Studies of the Sub Arctic
 Seas) Annual Meeting in Halifax, Nova Scotia, including a workshop on climate variability in
 subarctic seas.
- On June 18, 2009, Franz Mueter co-chaired (with Earl Dawe, DFO, St. Johns, Newfoundland) a
 workshop on gadid-crustacean interactions in subarctic ecosystems at the ESSAS Annual Meeting in
 Seattle. He presented an overview (with Siddeek Shareef and Jie Zheng) of gadid and crustacean
 fisheries and dynamics in the Gulf of Alaska and eastern Bering Sea.
- On September 9, 2009, Gordon Kruse was invited to give an invited presentation on Climate Change and Marine Protected Areas: A Fisheries Perspective from Alaska to the Marine Protected Areas
 Federal Advisory Committee (FAC). Kruse also served on a panel of experts to field questions from the MPA FAC for two hours. This was reported on the evening news on KTUU (Anchorage) on September 9, 2009. Kruse reported on climate change effects on groundfish, crabs, herring, and other marine species and their implications to the design of marine protected areas.
- November 16-18, 2009: Mueter gave an invited presentation by videoconference to the Alaska
 Community-Based Climate Change Adaptation Outreach Program Development Workshop on
 "Climate change impacts on fisheries".
- Gordon Kruse participated as a member of a panel to address the question: What will our fisheries
 and oceans look like in 20 years? The panel was convened during the Alaska Young Fishermen's
 Summit hosted by the Alaska Marine Advisory Program in Anchorage on December 8, 2009.
- April 24, 2010. Mueter participated in a workshop on "Networking across global marine "hotspots",
 held in conjunction with the international symposium on "Climate change effects on fish and
- 1549 fisheries: Forecasting impacts, assessing ecosystem responses, and evaluating management
- strategies." in Sendai, Japan. As part of the workshop he gave an invited presentation on "Biological
- 1551 responses to recent climate variability on the eastern Bering Sea shelf".

- May 10-14, 2010: Mueter participated in an International Stock Production Modeling Workshop at
 Woods Hole, MA, contributing biological and physical time series of variability in the Bering Sea and
 Gulf of Alaska for analysis at the workshop.
- August 30 September 1, 2010. Mueter and M.S. student Laurinda Marcello participated in the
 Ecosystem Studies of the Subarctic Seas (ESSAS) Annual Meeting in Reykjavik, Iceland, which
 featured a workshop on effects of climate variability in subarctic ecosystems and a workshop on
 gadid-crustacean interactions in subarctic seas. Mueter gave a invited presentation (co-authored with
 Mike Litzow) on linking climate and fish in the Northeast Pacific as part of the first workshop and
 Marcello presented results from her retrospective work on snow crab recruitment in the Eastern
 Bering Sea.
- On April 7-8, 2011, Franz Mueter participated in a workshop on stock-specific indicators at the
 Alaska Fisheries Science Center in Seattle and gave a presentation on forecasting pollock recruitment
 and growth.
- Mueter helped organize a half-day workshop and a scientific session on gadid-crustacean interactions at the ESSAS Open Science Meeting in May 2011. MS student Laurinda Marcello presented her work on snow crab (partially supported by BSIERP) at the meeting and submitted an associated manuscript.
- On October 27-28, 2011, Franz Mueter participated via WebEx in a workshop on Management
 Strategy Evaluations held at the Alaska Fisheries Science Center in Seattle.
- On February 6-9, 2012, Franz Mueter participated in a BEST Synthesis workshop in Bermuda.
- On April 4-5, 2012, Franz Mueter participated in a workshop on Workshop on Assessment and
 Management Issues Related to Recruitment at the Alaska Fisheries Science Center in Seattle and gave
 a presentation on environmental forcing of recruitment in the Bering Sea and Gulf of Alaska and its
 use in stock assessments and stock projections.
- In May 2012, Mueter participated in a full-day workshop on "Climate change and range shifts in the
 ocean" at the 2nd International Symposium on the effects of climate change on the World's Oceans in
 Yeosu, South Korea.
- Mueter participated in a full-day workshop on interactions between the subarctic and Arctic at the
 PICES Annual Meeting in Hiroshima, Japan, in October 2012 and presented a paper on the spatial
 dynamics of fish stocks in the eastern Bering Sea.
- On February 26-28, 2013, Franz Mueter participated in a BEST Synthesis workshop in Friday
 Harbor, WA.

1584 Presentations in Schools (K-12, Undergraduate)

1585 None.

1586 <u>Press Articles (Newspaper/Journal/Newsletter)</u>

May 2008: An article on climate change and Alaska's fisheries, written by Kruse, appeared in the Periodical "Alaska Seas and Coasts", published by Alaska Sea Grant and the Marine Advisory Program of the University of Alaska Fairbanks.

- July 13, 2008: Kruse was interviewed and quoted in a newspaper article in the Fairbanks Daily News
 Miner on the effects of climate change on marine ecosystems of Alaska.
- October 2008: Mueter was interviewed about responses of fish populations in the Bering Sea to
 climate variability by Ken Weiss from the LA Times for an article on climate warming and marine
 fishes Los Angeles Times, October 19, 2008: "<u>Migrating Alaskan pollock are creating the potential</u>
 for a new dispute with Russia"
- February 4, 2009. Dr. Kruse was interviewed by reporter Tom Kazzia for an article on the effects of climate change on Tanner crabs and other species in Alaska. The article "Crab comeback in
 Kachemak Bay" appeared in Anchorage Daily News on 2/21/2009. Kruse was quoted twice in the article.
- November 23, 2009: Franz Mueter was interviewed by Lu Snyder for an article on the effects of
 climate change on fishes that was published in the December 2009 edition of FISHRAP, the
 newsletter of the Northern Southeast Regional Aquaculture Association (Vol. 27, no. 2, p. 1).
- September 2, 2011: Mueter gave a phone interview on changes in arrowtooth flounder abundance to
 Craig Medred of the Alaska Dispatch.
- 1605 • On September 9, 2012, Gordon Kruse was interviewed on camera for two hours by Luke Griswold-1606 Tergis for a future PBS documentary on fisheries management in Alaska. It is a story about the 1607 evolution of fisheries management and current issues in Alaska. Mr. Griswold-Tergis produced a 1608 recent movie, Smokin' Fish (http://smokinfishmovie.com/), featuring Juneau's Cory Mann, which 1609 brings to light the epic art of being and remaining an American Indian in the 20th Century. The film 1610 played on PBS and was screened at many events, including film festivals, libraries, and schools both 1611 nationally and internationally. A one-hour film is intended for the current film production with similar 1612 distribution.
- In May 2011 Gordon Kruse was interviewed for a UAF Aurora Magazine article that was published
 in fall 2011. He was heavily quoted concerning the collapse of many of Alaska's king crab stocks,
- 1615 their failure to recover, and broader ecosystem changes in the GOA and EBS (see
- 1616 <u>http://www.uaf.edu/aurora/</u>).

1617 <u>Factsheets Produced</u>

- 1618 Uchimaya, T., G.H. Kruse, and F.J. Mueter. 2014. Understanding Bering Sea groundfish populations:
- 1619 Using models to shed light on patterns and trends. BEST-BSIERP project headlines. BEST-BSIERP1620 Bering Sea Project.
- 1621 <u>Video or Film Produced</u>
- 1622 None.

1623 <u>Radio/Television Interviews</u>

On August 13, 2009, both Kruse and Mueter were interviewed by Marc Kagan, video director and producer for NOAA, for a film on climate change and its effects on fish, crabs and other species in the marine ecosystems of Alaska. Gordon spoke about work related to this project, as well as other
 NPFB-funded research projects, such as Pacific herring. Franz spoke about changes in distribution of fish and shellfish in the eastern Bering Sea and other climate effects on the Bering Sea ecosystem, based in part on results from the retrospective analyses.

- On March 27, 2010, Mueter was interviewed by Anne Hillman from KUCB, Dutch Harbor, on the
 effects of climate variability on walleye pollock. The interview aired on the local public radio station
 and is available <u>online</u>.
- On September 9, 2012, Gordon Kruse was interviewed on camera for two hours by Luke Griswold Tergis for a future PBS documentary on fisheries management in Alaska. It is a story about the
 evolution of fisheries management and current issues in Alaska. The interview included discussion of
 some of the issues associated with the Bering Sea groundfish fishery and research resulting from the
 BSIERP project.
- On January 24, 2013, Franz Mueter was interviewed by Jaqueline Estes (APRN) on the potential
 effects of climate change on fish communities in the Arctic and Subarctic. The story aired on APRN
 on the following days.
- On January 24, 2013, Franz Mueter was also interviewed by Lauren Rosenthal from KUCB (Dutch Harbor), which led to an online article and a story on KUCB, largely focusing on the Arctic
- 1643 (http://www.alaskapublic.org/2013/01/24/chukchi-trawl-survey-sheds-light-on-unexplored-waters/).

- Gordon Kruse was interviewed by Jay Barrett of KMXT in Kodiak for a radio report on the 29th
- 1645 Lowell Wakefield Fisheries Symposium on Fisheries Bycatch: Global Issues and Creative Solutions.
- 1646 The report aired on KMXT on 10/31/13 and an expanded version on 11/4/13. The report was also
- 1647 aired during the Alaska Fisheries Report on 11/7/13. Gordon is the chair of the Scientific Steering
- 1648 Committee for this international symposium to be held during May 13-16, 2014.

1649 Podcast and Blogs

- 1650 None.
- 1651 Web Page or Site Developed
- 1652 None.

1653 <u>Conference Presentations (Chronological order)</u>

- Mueter, F.J., K. Coyle. (Oral presentation) "From physics to humans: Climate effects on Bering Sea food
 webs and fisheries. PICES 27th Annual Meeting, Dalian, China, October 30, 2008.
- Mueter, F.J. (Invited Seminar). Climate effects on Bering Sea food webs and fisheries. Juneau Center,
 School of Fisheries and Ocean Sciences, University of Alaska Fairbanks. Juneau, Alaska, January
 23, 2009.
- Mueter, F.J. (Invited seminar). Environmental and Ecological Indicators for the Eastern Bering Sea.
 NOAA, NMFS, Ted Stevens Marine Research Institute. May 4, 2009.

Mueter, F.J., Siddeek Shareef, Jie Zheng (Oral presentation). Gadid-crustacean interactions in the eastern
Bering Sea and Gulf of Alaska. Ecosystem Studies of Subarctic Seas (ESSAS) Annual Meeting.
June 18, 2009.

- Mueter, F.J. (Invited Seminar). Climate effects on Bering Sea food webs and fisheries. University of
 Alaska Fairbanks, Fairbanks, AK. September 30, 2009. A copy of the presentation was submitted
 with the semi-annual report on October 1, 2009.
- Mueter, F.J. and M.A. Litzow (Invited Presentation). The spatial footprint of biological re-organization in
 a demersal community. PICES 28th Annual Meeting Science Board Symposium, Cheju Island,
 Korea, October 26, 2009.

- Mueter, F.J., Gordon H. Kruse, Vernon Byrd, and Heather Renner (Poster). Covariation among major
 fish, seabird, and mammal populations in the eastern Bering Sea. Alaska Marine Science
 Symposium, January 20, 2010, Anchorage, AK.
- Mueter, F.J., Carol Ladd, Phyllis Stabeno, Ron Heintz, Ken Coyle, Gordon H. Kruse (Oral presentation).
 Environmental controls of gadid year class strength in the eastern Bering Sea. Alaska Marine
 Science Symposium, January 21, 2010, Anchorage, AK.
- Mueter, F.J. (Invited presentation). Climate variability on the eastern Bering Sea shelf: Effects on the
 distribution and productivity of fish populations. Western Alaska Interdisciplinary Science
 Conference (WAISC). 24 March 2010, Unalaska, AK.
- Mueter, F.J. (Invited presentation). Climate variability in the eastern Bering Sea. Western Alaska
 Interdisciplinary Science Conference (WAISC). 24 March 2010, Unalaska, AK
- Mueter, F.J., Bond, N.A., and Ianelli, J.N. (Invited Presentation). Long-term forecasts of walleye pollock
 dynamics in the eastern Bering Sea based on estimated responses of recruitment and growth to
 climate variability. PICES 2010 Annual Meeting, Tuesday, October 26, 2010.
- Mueter, F.J. (Oral Presentation). Spatial dynamics of Bering Sea groundfish: Effects of temperature and
 density. Alaska Chapter, American Fisheries Society, Annual Meeting. November 4, 2010.
- 1686 Kruse, G.H., and Mueter, F.J. (Invited keynote presentation). Climate change and the future of Alaska's
 1687 fisheries. Alaska Chapter, American Fisheries Society, Annual Meeting, Juneau, AK. November 3,
 1688 2010.
- Mueter, F.J. Effects of temperature and density on spatial dynamics of Bering Sea groundfishes. Alaska
 Marine Science Symposium. January 20, 2011.
- Mueter, F.J. (Invited seminar) The Bering Sea ecosystem: From climate to plankton to fish. University of
 Alaska Southeast. Biological Sciences seminar, Juneau, AK, April 13, 2011.
- Mueter, F.J. (Keynote) Groundfish in Hot Water: Challenges facing fish and fisheries in Alaska. Alaska
 Department of Fish & Game. Statewide groundfish meeting in Anchorage, AK April 27, 2011.
- Mueter, F.J., Stepanenko, M.A., Smirnov, A.V., and Yamamura, O. (Invited). Comparing walleye pollock
 dynamics across the Bering Sea and adjacent areas. International Symposium on "Comparative

- studies of climate effects on polar and sub-polar ocean ecosystems: progress in observation and
 prediction" (ESSAS Open Science Meeting). Seattle, WA, May 23, 2011.
- 1699 Kruse, G.H. (Invited oral presentation). Overview of the BEST-BSIERP Program. Presented to Fishery
 1700 Science Committee, Annual Meeting of the North Pacific Marine Science Organization (PICES),
 1701 Khabarovsk, Russia. October 19, 2011.
- Mueter, F.J., Bohaboy, E.C., Bundy, A., Fu C., Hjermann, D.O., Link, J.S. Common patterns, common
 drivers: comparative analysis of aggregate surplus production across ecosystems. American
 Fisheries Society 141st Annual Meeting, Seattle, WA, September 4-8, 2011.
- Uchiyama, T., Kruse, G.H., and Mueter, F.J. (Poster). Predator-prey interactions in the Eastern Bering
 Sea Ecosystem: A Study Using Multispecies Biomass-dynamics Models. Alaska Marine Science
 Symposium, Anchorage, AK. January 2012.
- Uchiyama, T., Kruse, G.H., and Mueter, F.J. (Poster). Warm Conditions in the Eastern Bering Sea
 Increase Pollock Cannibalism: A Study Using Multispecies Biomass-dynamics Models.
 BEST/BSIERP PI meeting, Anchorage, AK. March 2012.
- Mueter, F.J. Spatial dynamics of fish communities in subarctic and arctic seas under a changing climate.
 PICES-ICES workshop on a "Global assessment of the implications of climate change on the spatial distribution of fish and fisheries", St. Petersburg, Russia, May 22, 2013.
- Mueter, F.J., Litzow, M.A., Lauth, R.L., Danielson, S.L., and Spencer, P.D. Spatial dynamics of
 groundfish: the roles of temperature, abundance and advection. Ecosystem Studies of the Subarctic
 Seas (ESSAS) Annual Science Meeting, Hakodate, Japan, January 9, 2013.
- Mueter, F.J., Litzow, M.A., Lauth, R.L., Danielson, S.L., and Spencer, P.D. The roles of temperature,
 abundance and advection in modifying the spatial dynamics of groundfish at the Subarctic-Arctic
 boundary in the eastern Bering Sea. PICES 2012 Annual Meeting, Hiroshima, Japan, October 12,
 2012.
- Mueter, F.J. (Keynote). Ecosystems, complexity, and sustainability from global to regional to local scales.
 American Fisheries Society, Alaska Chapter, Annual Meeting. Kodiak, Alaska, October 24, 2012.

1723 Conference Participation

- September 2008: Kruse participated in the Annual Science Conference of the International Council
 for the Exploration of the Sea (ICES).
- October 2008: Kruse and Mueter participated in the Annual PICES meeting in Dalian. Kruse co convened the Science Board Symposium on "Beyond observations to achieve understanding and
 forecasting in a changing North Pacific Ocean" and convened the Fisheries Contributed Paper
 Session.
- Dr. Kruse chaired the Steering Committee for the 25th Lowell Wakefield Symposium on "Biology and Management of Exploited Crab Populations under Climate Change" held in Anchorage, AK, during March 10-13, 2009. The meeting was attended by approximately 80 participants from 6 countries. Dr. Kruse was also lead editor for the symposium proceedings.
- From March 24-27, 2010, Mueter participated in the Western Alaska Interdisciplinary Science
 Conference (WAISC) in Unalaska. In addition to giving two presentations related to the BSIERP
 project, he participated in discussions with the community on global warming and ocean acidification
 issues.
- Mueter, with Laura Richards (DFO, Canada), John Field (NOAA, USA), and Sanae Chiba (Japan)
 organized a session at the 2010 PICES Annual Meeting in Portland, Oregon, entitled "Observations of
 ecosystem mixing under climate change" that featured an invited presentation by BEST/BSIERP PI
 Lorenzo Cianelli.
- Kruse organized both a session and an international symposium that both highlighted BEST/BSIERP
 research at the 2010 PICES Annual Meeting in Portland, Oregon.
- In November, 2010, Kruse chaired a scientific session titled, "Dynamics of Marine Ecosystems", at
 the Annual Meeting of the Alaska Chapter, American Fisheries Society, in Juneau Alaska. This
 session included BSIERP-related talks, including a BEST/BSIERP overview talk by Mike Sigler.
- In November 2010, Gordon Kruse organized and chaired the Steering Committee for the 26th Lowell
 Wakefield Symposium titled, "Ecosystems 2010: Global Progress on Ecosystem-based Fisheries
 Management." The symposium attracted 108 participants from 19 countries. Talks included multiple
 BEST/BSIERP presentations, including those delivered by Mike Sigler, Ivonne Ortiz, Kerim Aydin
 and others.
- In May 2011 both Mueter and Kruse participated in the ESSAS Open Science Meeting in Seattle,
 where Franz Mueter gave an invited BSIERP presentation on walleye pollock dynamics during the
 Bering Sea session and Gordon Kruse delivered a presentation on red king crab dynamics in the
 session on gadid-crustacean interactions.

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1756	• Mueter attended the Annual Meeting of the American Fisheries Society in Seattle in September 2011
1757	and presented in a session on increased variability in fish populations and in a session on surplus-
1758	production models. Both drew on some retrospective data compiled as part of BSIERP.
1759	• Mueter participated in the 2 nd International Symposium on the effects of climate change on the
1760	World's Oceans in Yeosu, South Korea, participated in a workshop and co-authored three papers
1761	partially supported by this project, presented by George Hunt, Paul Spencer, and Ting-Chun Kuo.
1762	• Both Mueter and Kruse participated in the PICES Annual Meeting in Hiroshima, Japan, in October
1763	2012, participated in and helped organize workshops and scientific sessions, and co-authored several
1764	papers presented at the meeting that were partially supported by this project.
1765	• Both Mueter and Kruse participated in the PICES Annual Meeting in Nanaimo, BC, in October 2013,
1766	participating in workshops, committee meetings, and scientific sessions.
1767	Social Media (Facebook, Twitter, YouTube Channel, etc.)
1768	None.
1769	Teacher Workshops or Hosted Teacher-at-Sea
1770	None.
1771	Books
1772	None.
1773	Acknowledgments

- 1774 This research was made possible by funding from the North Pacific Research Board (NPRB) Project B75
- 1775 and a graduate student fellowship from the Pollock Conservation Cooperative Research Center at the
- 1776 University of Alaska Fairbanks.

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